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NE-290H  
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U.C. Berkeley

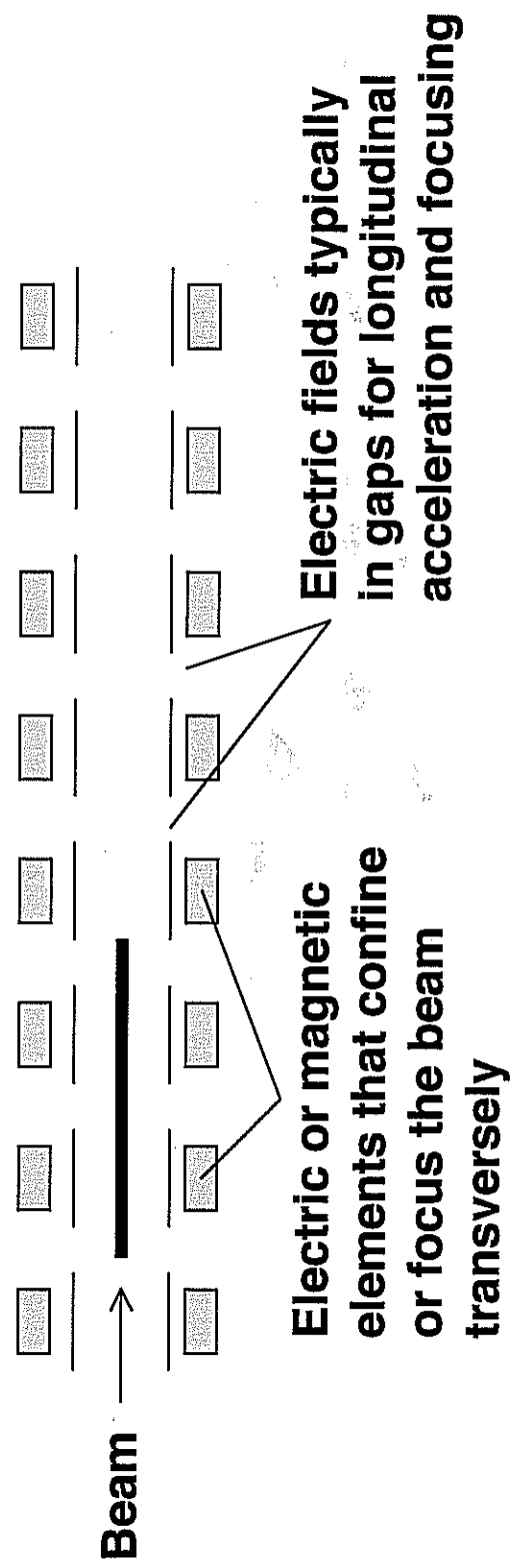
I. Introduction  
(related reading in parentheses)

Particle motion (Reiser 2.1)  
Equation of motion (Reiser 2.1)  
Dimensionless quantities (Reiser 4.2)

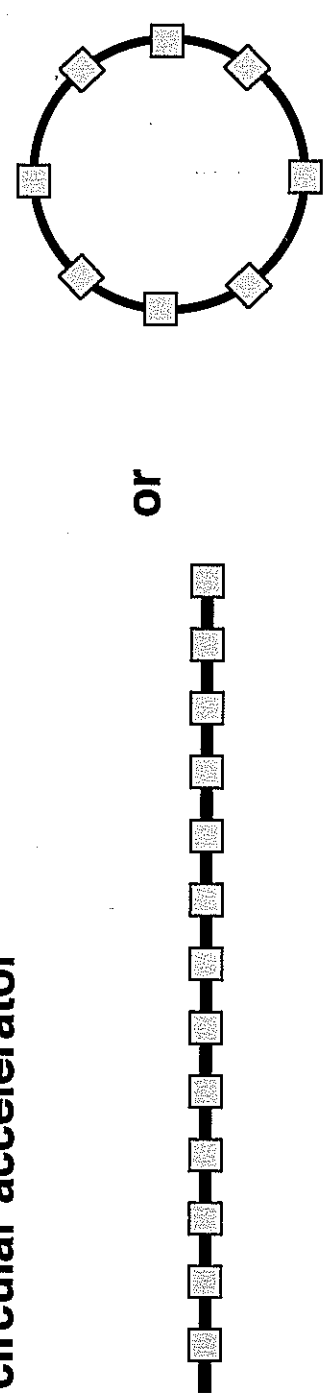
Plasma physics of beams (Reiser  
3.2, 4.1)

Emittance and brightness (Reiser 3.1  
- 3.2)

**How do we describe and calculate the evolution of a collection of particles under the EM forces in an accelerator?**



**This array or "lattice" of focusing elements may be arranged in a linac or circular accelerator**



## PARTICLE EQUATIONS OF MOTION / DIMENSIONLESS QUANTITIES

CONSIDER THE LORENTZ FORCE ON A PARTICLE UNDER THE INFLUENCE OF ELECTRIC AND MAGNETIC FORCES.

$$\frac{d\mathbf{p}}{dt} = q(\mathbf{E} + \mathbf{v} \times \mathbf{B}) \quad [\text{SI units}]$$

$$\mathbf{p} = \gamma m \mathbf{v} \quad \gamma^2 = \frac{1}{1 - \beta^2} \quad \beta = \frac{v}{c}$$



CONSIDER THE X-COMPONENT OF THE MOTION (TRANSVERSE TO THE STREAMING MOTION OF THE PARTICLE)

TRANSFORM TO S AS THE INDEPENDENT VARIABLE:

$$dt = \frac{ds}{v_z} \quad \Rightarrow \quad v_x = \frac{dx}{dt} = v_z x' \quad x' = \frac{dx}{ds}$$

$$m v_z \frac{d}{ds} (\gamma v_z x') = q (\mathbf{E} + \mathbf{v} \times \mathbf{B})_x$$

$$\gamma m v_z^2 x'' + x' m v_z \frac{d}{ds} (\gamma v_z) = q (\mathbf{E} + \mathbf{v} \times \mathbf{B})_x$$

$$\Rightarrow x'' + \left[ \frac{1}{\gamma v_z} \frac{d}{ds} (\gamma v_z) \right] x' = \frac{q}{\gamma m v_z^2} (\mathbf{E} + \mathbf{v} \times \mathbf{B})_x$$

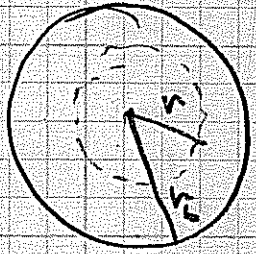
NOW CONSIDER AN UNBUNCHED BEAM OF UNIFORM DENSITY  $\rho$   
AND CIRCULAR CROSS SECTION

$$\nabla \cdot \underline{E} = \frac{\rho}{\epsilon_0}$$

$$2\pi r E_r = \pi r^2 \frac{\rho}{\epsilon_0} \quad (\text{Gauss' theorem})$$

$$E_r = \frac{\rho}{2\epsilon_0} r = \frac{\lambda}{2\pi\epsilon_0} \frac{r}{r_b^2}$$

$$E_x = E_r \cos\theta = E_r \left(\frac{x}{r}\right) = \frac{\lambda}{2\pi\epsilon_0} \frac{x}{r_b^2}$$



$$\lambda = \pi r_b^2 \rho$$

Similarly  $\nabla \times \underline{B} = \mu_0 \underline{J}$

$$2\pi r B_\theta = \mu_0 \rho v_z \pi r^2 \quad (\text{Stokes theorem})$$

$$B_\theta = \frac{\mu_0 \lambda v_z}{2\pi} \frac{r}{r_b^2}$$

$$B_y = \frac{\mu_0 \lambda v_z}{2\pi} \frac{x}{r_b^2} \quad (B_z = 0)$$

$$\text{Let } (\underline{E} + \underline{v} \times \underline{B})_x = (E_x - v_z B_y)^{\text{self}} + (E_x + v_y B_z - v_z B_y)^{\text{ext}}$$

$$\Rightarrow x'' + \left[ \frac{1}{\gamma m v_z^2} \frac{1}{\epsilon_0} \gamma v_z \right] x' = \frac{\rho}{\gamma m v_z^2} \frac{\lambda}{2\pi\epsilon_0} \frac{x}{r_b^2} [1 - \mu_0 \epsilon_0 v_z^2] + \frac{q}{\gamma m v_z^2} (\underline{E} + \underline{v} \times \underline{B})_x^{\text{ext}}$$

$$\text{Using } \mu_0 \epsilon_0 = \frac{1}{c^2}$$

$$\text{Assuming } \beta_x^2 + \beta_y^2 \ll \frac{1}{\gamma^2} \Rightarrow \gamma^2 \approx \frac{1}{1 - v_z^2/c^2} \quad (\text{PARAXIAL APPROXIMATION})$$

$$(\Rightarrow \tilde{\beta}_x^2 + \tilde{\beta}_y^2 \ll 1; \text{ HERE } \tilde{\phantom{x}} \text{ INDICATES VALUE IN COMOVING FRAME [NON-RELATIVISTIC MOTION IN COMOVING FRAME].})$$

LUMPING EXTERNAL FORCE INTO A LINEAR FIELD

$$x'' + \frac{1}{\gamma v_z} \frac{d(\gamma v_z)}{dz} x' \approx \frac{q}{\gamma^3 m v_z^2} \frac{\lambda}{2\pi \epsilon_0} \frac{x}{r_b^2} - \underbrace{K(z)}_{\text{EXTERNAL FORCES}} x$$

$$= Q \frac{x}{r_b^2} - K(z) x$$

$$Q \equiv \frac{q \lambda}{2\pi \epsilon_0 \gamma^3 m v_z^2} \equiv \text{GENERALIZED PERVEANCE}$$

$$= \frac{(q/e)}{(m/m_{\text{amu}})} \frac{2I}{I_0} \frac{1}{\gamma^3 \beta^3}$$

$$I_0 = \frac{4\pi \epsilon_0 m_{\text{amu}} c^3}{e} \approx 31 \text{ MA}$$

here  $qV \equiv (\gamma - 1) mc^2$

for  $\gamma^2 v_z^2 \ll c^2$   
 $\frac{\lambda}{4\pi \epsilon_0 V}$   
 for  $\gamma^2 v_z^2 \gg c^2$   
 $\frac{\lambda}{2\pi \epsilon_0 V (\frac{qV}{mc^2})^2}$

Also note in non-relativistic limit  $Q = \left(\frac{m}{2q}\right) \frac{1}{4\pi \epsilon_0} \left(\frac{I}{V^3 r}\right)$

(same scaling as original term perveance characterizing microtms).

$$Q \approx \frac{\Phi_{\text{SELF}}}{V} = \frac{\int_0^{r_b} (E_r - v_z B_\theta) dr}{V} = \frac{\text{POTENTIAL ENERGY OF BEAM PARTICLE}}{\text{KINETIC ENERGY OF " "}}$$

SOMETIMES PERIODIC FOCUSING IS EMPLOYED

$$K(z) = K(z + S)$$

S = PERIOD

FOR SOME PURPOSES A SUITABLE CONSTANT

CAN BE FOUND WHICH CAPTURES SLOW VARIATION OF THE PARTICLE MOTION. (SMOOTH FOCUSING APPROX.)

$$\Rightarrow x'' + \frac{1}{\gamma v_z} \frac{d(\gamma v_z)}{dz} x' = Q \frac{x}{r_b^2} - k_{p0}^2 x$$

$k_{p0} \equiv$  "UNDRESSSED RETRATON FREQUENCY"

$\phi_0 \equiv k_{p0} S =$  UNDRESSSED PHASE ADVANCE

If  $\frac{dV_z}{dz} = 0$  [drifting beams]

$$x'' = - \left[ k_{p0}^2 - \frac{Q}{\gamma^2} \right] x$$

$$= -k_{p0}^2 \left[ 1 - \frac{Q}{k_{p0}^2 \gamma^2} \right] x \equiv -k_p^2 x$$

↑ "DEPRESSED BETATRON FREQUENCY"

$$\equiv \left( \frac{\omega}{\omega_0} \right)^2 = ("TUNE DEPRESSION")^2$$

EFFECT OF SPACE CHARGE IS TO LOWER FREQUENCY OF HARMONIC OSCILLATIONS

$$\frac{Q}{\gamma^2} = 0 \Rightarrow \text{FULLY TUNE DEPRESSED}$$

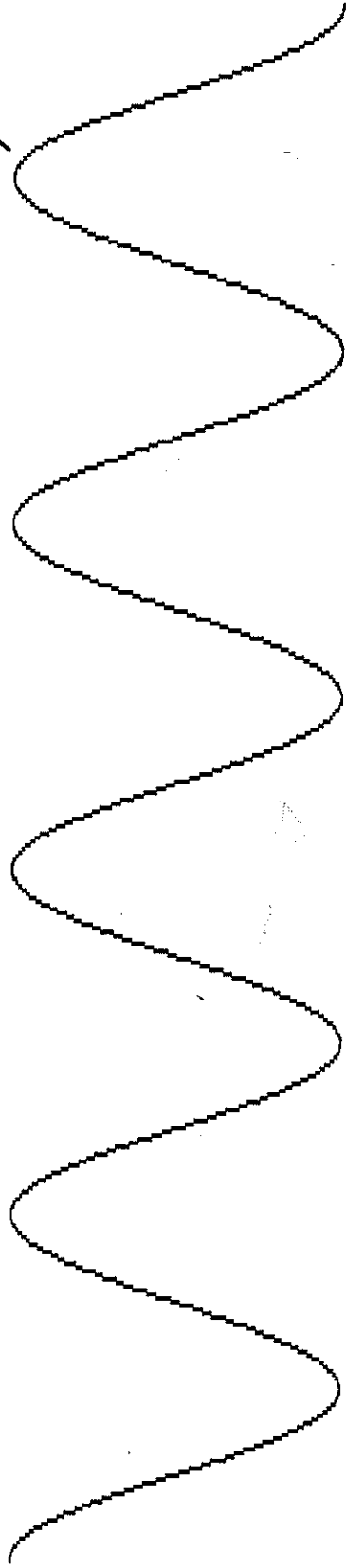
$$\frac{Q}{\gamma^2} = 1 \Rightarrow \text{NO SPACE-CHARGE DEPERSION}$$

# Space charge reduces betatron phase advance

Without space charge:

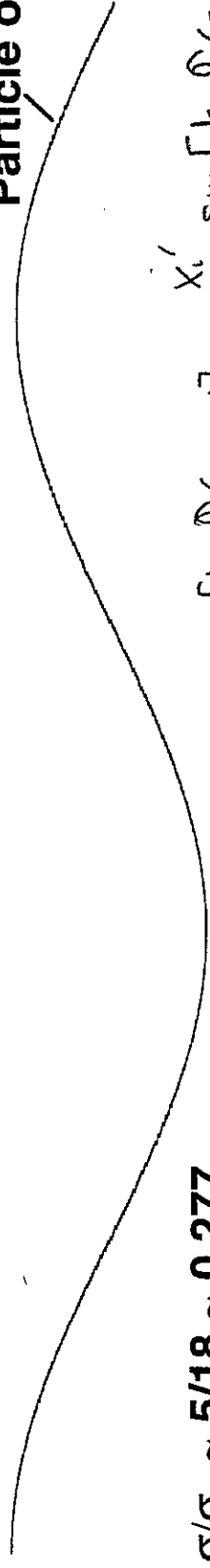
$$X = X_i \cos [k_{\beta 0}(s - s_i)] + \frac{X_i'}{k_{\beta 0}} \sin [k_{\beta 0}(s - s_i)]$$

Particle orbit



With space charge:

Particle orbit



$$X = X_i \cos [k_{\beta 0} \frac{\sigma}{\sigma_0} (s - s_i)] + \frac{X_i'}{(\frac{\sigma}{\sigma_0}) k_{\beta 0}} \sin [k_{\beta 0} \frac{\sigma}{\sigma_0} (s - s_i)]$$

Beam envelope

$$\sigma / \sigma_0 \sim 5/18 \sim 0.277$$

J. BARNARD



The Heavy Ion Fusion Virtual National Laboratory



PPPL  
PRINCETON PLASMA  
PHYSICS LABORATORY

# BENDING BEAMS

RETURNING TO PARTICLE EQUATION WITH ARBITRARY  $\underline{E}, \underline{B}$ :

$$x'' + \left[ \frac{1}{\gamma m v_z} \frac{d}{dz} (\gamma m v_z) \right] x' = \frac{q}{\gamma m v_z^2} (\underline{E} + \underline{v} \times \underline{B})_x$$

IF EXTERNAL FORCE IS PROPORTIONAL TO  $-x$   
 $\Rightarrow$  FOCUSING (HARMONIC OSCILLATIONS)

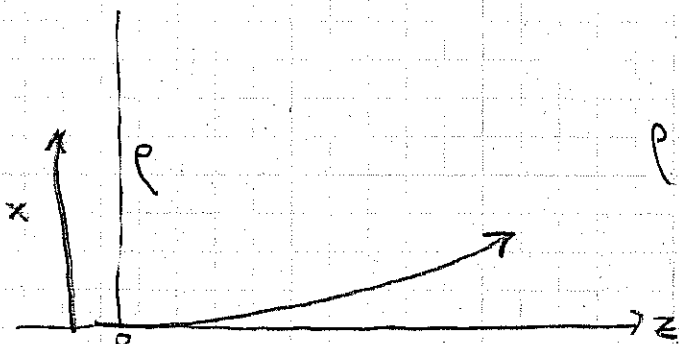
HOWEVER, IF  $\underline{E} + \underline{v} \times \underline{B} = \text{CONSTANT}$   
 $\Rightarrow$  BENDING

EXAMPLE: If  $\underline{B} = B_y \hat{e}_y$   
 $\underline{v} = v_0 \hat{e}_z + v_x \hat{e}_x$  where  $v_0 \gg v_x$

$$\Rightarrow x'' = \frac{q B_y}{\gamma m v_z^2} = \frac{B_y}{[B\rho]_z} \quad [B\rho] \equiv \text{RIGIDITY} = \frac{\gamma m v_z}{q} = \frac{p}{q}$$

$$x' = \frac{B_y}{[B\rho]} z + x_0'$$

$$x = \frac{B_y}{[B\rho]} \frac{z^2}{2} + x_0' z + x_0$$



$p = \text{RADIUS OF CURVATURE OF ARC} = \frac{[B\rho]}{B_y}$

(BENDING CAN ALSO BE CARRIED OUT WITH ELECTRIC FIELDS  $\underline{E} = \dots$ )



# PLASMA PHYSICS OF BEAMS

PHYSICS OF SPACE CHARGE  $\equiv$  PHYSICS OF SELF FIELDS  
 $\equiv$  PLASMA PHYSICS OF PARTICLE BEAMS

## PLASMA PARAMETER $\Lambda$

$$q \Phi_{IP} = \frac{1}{4\pi\epsilon_0} \frac{q^2}{r_{IP}}$$

$$\approx \frac{1}{4\pi\epsilon_0} n_0^{1/3} q^2$$

AVERAGE POTENTIAL ENERGY  $q \Phi_{IP}$   
 OF PARTICLE DUE TO ITS NEAREST  
 NEIGHBOR AT A DISTANCE  $r_{IP}$   
 ( $q$  = CHARGE OF PARTICLE)

$n_0$  = NUMBER DENSITY

IF  $q \Phi_{IP} \ll k_B T \Rightarrow$  WEAKLY COUPLED PLASMA  
 OR SIMPLY PLASMA

DEFINE  $\lambda_D \equiv \frac{(k_B T / m)^{1/2}}{(n_0 q^2 / \epsilon_0 m)^{1/2}} = \frac{v_{TH}}{\omega_p} = \left( \frac{k_B T \epsilon_0}{n_0 q^2} \right)^{1/2}$  DEBYE LENGTH

= CHARACTERISTIC DISTANCE WHEREBY  
 CHARGES ARE SHIELDED

DEFINE  $\Lambda = \frac{4\pi}{3} n_0 \lambda_D^3 \equiv$  PLASMA PARAMETER

$$\sim \left( \frac{k_B T}{q \Phi_{IP}} \right)^{3/2} \gg 1 \quad [\text{if } q \Phi_{IP} \ll k_B T]$$

# KLIMONTWICH EQUATION

J. BARNARD (10)

REF. "INTRO. TO PLASMA THEORY", D.R. NICHOLSON, WILEY, 1983.

$$\text{let } N(\underline{x}, \underline{v}, t) = \sum_{i=1}^{N_0} \delta(\underline{x} - \underline{x}_i(t)) \delta(\underline{v} - \underline{v}_i(t))$$

No particles;  $\underline{x}_i, \underline{v}_i$  are position and velocity of  $i$ th particle

$$\dot{\underline{x}}_i = \underline{v}_i \quad m \dot{\underline{v}}_i = q \underline{E}^m[\underline{x}_i(t), t] + q [\underline{v}_i \times \underline{B}^m[\underline{x}_i(t), t]] \quad (\text{non-relativistic})$$

$N(\underline{x}, \underline{v}, t)$  = "density" of particle in phase space

$$\int N d^3x d^3v = N_0$$

$$\text{let } u = \underline{x} - \underline{x}_i(t)$$

$$\frac{\partial}{\partial u} f(u) = f'(u)$$

$$\frac{\partial}{\partial t} f(u) = f'(u) (-\dot{\underline{x}}(t)) = -\dot{\underline{x}}(t) \frac{\partial}{\partial x} f(u)$$

Taking derivative:

$$\frac{\partial N}{\partial t}(\underline{x}, \underline{v}, t) = \sum_{i=1}^N \dot{\underline{x}}_i(t) \cdot \nabla_x [\delta(\underline{x} - \underline{x}_i(t)) \delta(\underline{v} - \underline{v}_i(t))] - \sum_{i=1}^N \dot{\underline{v}}_i(t) \cdot \nabla_v [\delta(\underline{x} - \underline{x}_i(t)) \delta(\underline{v} - \underline{v}_i(t))]$$

$$\nabla \cdot \underline{E}^m = \left(\frac{1}{\epsilon_0}\right) q \int d^3v N(\underline{x}, \underline{v}, t)$$

$$\nabla \cdot \underline{B}^m = 0$$

$$\nabla \times \underline{E}^m = - \frac{\partial \underline{B}^m}{\partial t}$$

$$\nabla \times \underline{B}^m = \underbrace{\mu_0 q \int d^3v \underline{v} N(\underline{x}, \underline{v}, t)}_{\underline{J}^m} + \frac{\partial \underline{E}^m}{\partial t}$$

$$\Rightarrow \frac{\partial N}{\partial t}(\underline{x}, \underline{v}, t) = - \sum_{i=1}^{N_0} \dot{\underline{v}}_i(t) \cdot \nabla_v [\delta(\underline{x} - \underline{x}_i(t)) \delta(\underline{v} - \underline{v}_i(t))] - \sum_{i=1}^{N_0} \left( \left(\frac{q}{m}\right) \underline{E}^m + \left(\frac{q}{m}\right) [\underline{v}_i \times \underline{B}^m[\underline{x}_i(t), t]] \right) \cdot \nabla_v [\delta(\underline{x} - \underline{x}_i(t)) \delta(\underline{v} - \underline{v}_i(t))]$$

Note that  $\underline{v}_i(t) \delta(\underline{v} - \underline{v}_i(t)) = \underline{v} \delta(\underline{v} - \underline{v}_i(t))$  so,

$$\Rightarrow \frac{\partial N}{\partial t}(\underline{x}, \underline{v}, t) = - \underline{v} \cdot \nabla_v \sum_{i=1}^{N_0} \delta(\underline{x} - \underline{x}_i(t)) \delta(\underline{v} - \underline{v}_i(t)) - \left( \frac{q}{m} \underline{E}^m(\underline{x}, t) + \frac{q}{m} (\underline{v} \times \underline{B}^m(\underline{x}, t)) \right) \cdot \nabla_v \sum_{i=1}^N \delta(\underline{x} - \underline{x}_i(t)) \delta(\underline{v} - \underline{v}_i(t))$$

$$\boxed{\frac{\partial N}{\partial t}(\underline{x}, \underline{v}, t) = - \underline{v} \cdot \nabla_v N(\underline{x}, \underline{v}, t) + \frac{q}{m} (\underline{E}^m + \underline{v} \times \underline{B}^m) \cdot \nabla_v N(\underline{x}, \underline{v}, t)}$$

Klimontovich Equation

Total derivative along an orbit:

$$\frac{D}{Dt} = \frac{\partial}{\partial t} + \left. \frac{dx}{dt} \right|_{\text{orbit}} \cdot \nabla_x + \left. \frac{dv}{dt} \right|_{\text{orbit}} \cdot \nabla_v$$

$$\Rightarrow \boxed{\frac{D}{Dt} N(x, v, t) = 0}$$

Note that  $N = 0$  or  $\infty$ , nothing in between.

$$\text{Let } f(x, v, t) = \frac{\int_{\Delta x^3 \Delta v^3} N(x, v, t) \delta^3 x \delta^3 v}{\Delta x^3 \Delta v^3} \equiv \langle N(x, v, t) \rangle$$

over some box in phase space  $\Delta x$  &  $\Delta v$  are the size of box

Assume  $\lambda_D^{-1/3} \ll \Delta x \ll \lambda_D$  so that  $f(x, v, t)$  is smooth function.

Then $N = f + \delta f$	$f = \langle N \rangle$	$\langle \delta f \rangle = 0$
$E^m = E + \delta E$	$E = \langle E^m \rangle$	$\langle \delta E \rangle = 0$
$B^m = B + \delta B$	$B = \langle B^m \rangle$	$\langle \delta B \rangle = 0$

$$\Rightarrow \underbrace{\frac{\partial f}{\partial t} + v \cdot \nabla_x f + \frac{q}{m} (E + v \times B) \cdot \nabla_v f}_{\text{SMOOTHLY VARYING PART}} = - \frac{q}{m} \underbrace{\langle (\delta E + v \times \delta B) \cdot \nabla_v \delta f \rangle}_{\text{AVERAGE OF "SILLY" QUANTITIES "DISCRETE PARTICLE EFFECTS" OR "COLLISIONS"}}$$

If collisions are neglected (set RHS to zero):

**Vlasov-EQUATION**

$$\frac{\partial f}{\partial t} + v \cdot \nabla_x f + \frac{q}{m} (E + v \times B) \cdot \nabla_v f = 0$$

$$\Rightarrow \boxed{\frac{Df}{Dt} = 0}$$

PHASE SPACE DENSITY CONSTANT ON TRAJECTORIES. (LIOUVILLE'S THEOREM)

THE RHS IS DUE TO COLLISIONS WITH

NON-SMOOTH FIELDS:

VERY HEURISTICALLY

$$-\frac{q}{m} \langle (\delta \underline{E} + \underline{v} \times \delta \underline{B}) \cdot \nabla_v \delta f \rangle \sim \nu_c f$$

$$\nu_c \sim \Omega n V$$

$$\Omega \sim \pi n_c^2 \text{ where } n_c \text{ is given by } kT \sim \frac{q^2}{4\pi\epsilon_0 n_c}$$

(for large angle collisions)

$$\Rightarrow \nu_c \sim \pi \left( \frac{q^2}{4\pi\epsilon_0 kT} \right)^2 n_0 \left( \frac{kT}{m} \right)^{1/2}$$

$$\sim \frac{1}{16\pi} \frac{v_{th}}{\lambda_D^3 n_0}$$

(VERY ROUGH, BUT MAIN SCALING IS CORRECT, WITH LOGARITHMIC CORRECTION FACTOR!)

ON LHS OF VLASOV EQUATION:

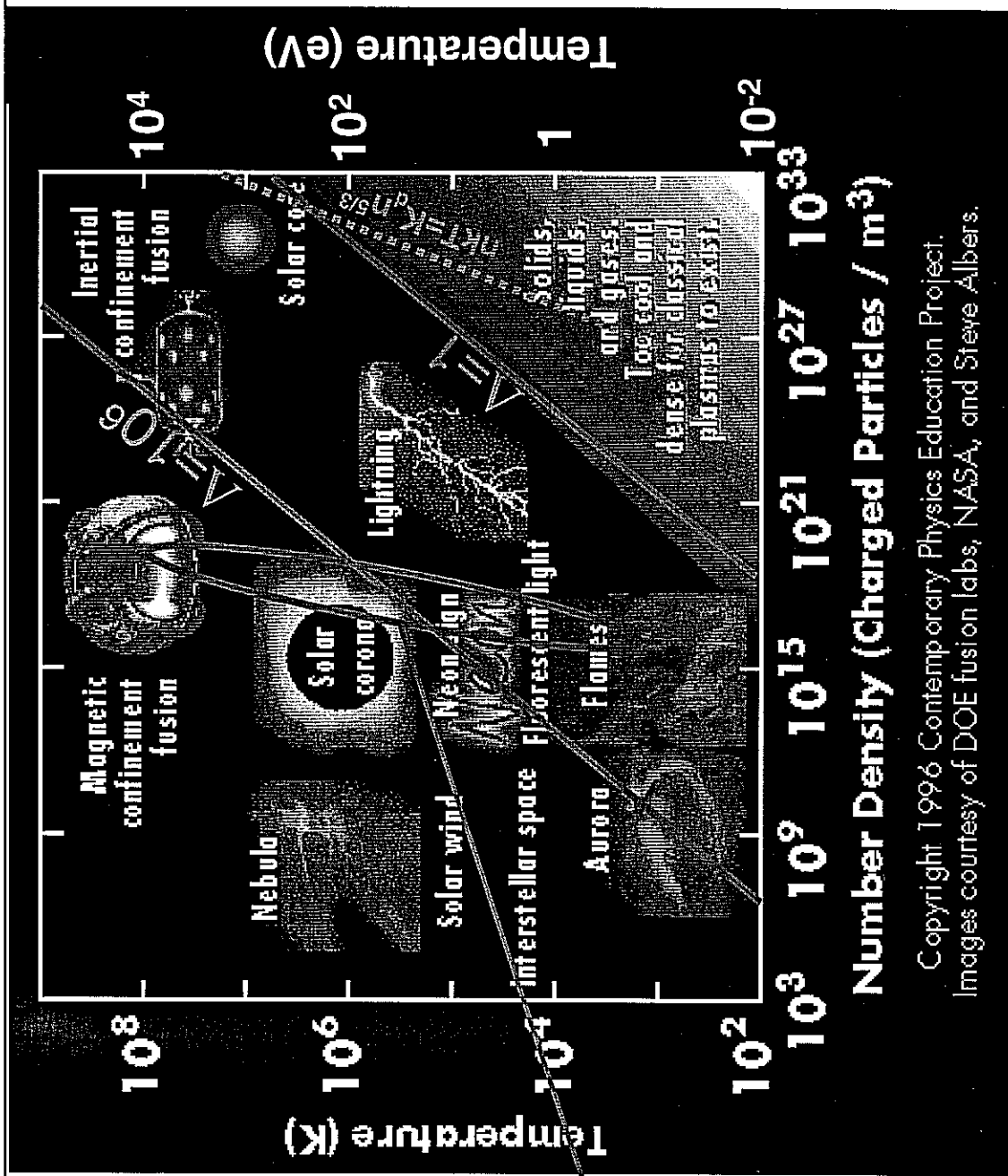
$$\frac{q}{m} E \nabla_v f \sim \frac{q}{m} \left( \frac{q \lambda_D n_0}{\epsilon_0} \right) \frac{f}{v_{th}}$$

$$\sim \omega_p f$$

where  $v_{th} \sim \sqrt{\frac{kT}{m}}$

$$\frac{\text{COLLISION TERM}}{\text{LHS}} \sim \frac{1}{(16\pi)^{1/3} \lambda_D^3 n_0} = \frac{1}{16 \Lambda}$$

# Accelerator beams are non-neutral plasmas



Accelerator beams  
for Heavy Ion Fusion

Copyright 1996 Contemporary Physics Education Project.  
Images courtesy of DOE fusion labs, NASA, and Steve Albers.

## DESCRIPTION OF THE BEAM

LIUVILLE'S THEOREM:  $\frac{df}{dt} = 0$  along a trajectory  
in phase space.

$$\text{Let } dN = f dx dy dz dp_x dp_y dp_z$$

The continuity equation in phase space is:

$$\frac{\partial f}{\partial t} + \nabla \cdot (f \underline{v}) = 0$$

$$\text{where } \underline{v} = \frac{d}{dt} \begin{pmatrix} q_1 \\ q_2 \\ q_3 \\ p_1 \\ p_2 \\ p_3 \end{pmatrix}$$

$$\nabla \cdot \underline{a} = \frac{\partial a_1}{\partial q_1} + \frac{\partial a_2}{\partial q_2} + \frac{\partial a_3}{\partial q_3} + \frac{\partial a_4}{\partial p_1} + \frac{\partial a_5}{\partial p_2} + \frac{\partial a_6}{\partial p_3}$$

( $\underline{v}$  &  $\nabla$  are the 6-D velocity & divergence, respectively).

If the system is governed by a Hamiltonian  $H(\underline{q}, \underline{p}, t)$

$$\dot{q}_i = \frac{\partial H}{\partial p_i} \quad \dot{p}_i = -\frac{\partial H}{\partial q_i}$$

$$\text{Now, } \nabla \cdot \underline{v} = \sum_{i=1}^3 \frac{\partial \dot{q}_i}{\partial p_i} + \frac{\partial \dot{p}_i}{\partial q_i} = \sum_{i=1}^3 \frac{\partial^2 H}{\partial q_i \partial p_i} - \frac{\partial^2 H}{\partial p_i \partial q_i} = 0$$

$$\Rightarrow \frac{\partial f}{\partial t} + f \nabla \cdot \underline{v} + \underline{v} \cdot \nabla f = 0$$

$$\Rightarrow \boxed{\left. \frac{df}{dt} \right|_{(C)} = 0 \text{ trajectory}}$$

Emittance & Brightness

LIUVILLE'S EQUATION OR VLAIOU EQUATION  $\Rightarrow \frac{dN}{dx dy dz dx dp_y dp_z} = \text{const}$

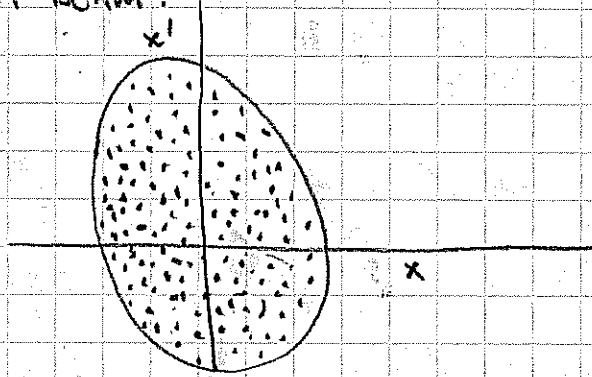
IF  $x'' = f(x)$  AND NOT FUNCTIONS (y & z)  
 $y'' = f(y) =$  " " " (x, & z)  
 $z'' = f(z) =$  " " " (x, & y)

THEN  $\frac{dN}{dx dp_x} = \text{const}; \frac{dN}{dy dp_y} = \text{const} \& \frac{dN}{dz dp_z} = \text{const}$

separately.

1st DEFINITION:

EMITTANCE: USE TRACE-SPACE OF ALL PARTICLES IN A GIVEN SLICE OF BEAM.



INSTEAD OF  $p_x$  USE  $x' = \frac{v_x}{v_z}$  (FOR NON-ACCELERATING PARAXIAL BEAM,  $x'$  PROPORTIONAL TO MOMENTUM)

EMITTANCE  $\equiv \frac{1}{\pi}$  AREA OF SMALLEST ELLIPSE WHICH ENCLOSES ALL PARTICLES. (TRACE-SPACE DEFINITION)

(INTUITIVELY, PRODUCT OF WIDTH IN  $x$ , TIMES WIDTH IN  $x'$ , SO IT IS ESSENTIALLY (WITHIN FACTOR OF  $\pi$ ) = PHASE SPACE AREA OF BEAM.

2ND DEFINITION INVOLVES STATISTICAL AVERAGES OF 2ND ORDER QUANTITIES (SUCH AS RMST).

$$\epsilon_x \equiv 4 (\langle x^2 \rangle \langle x'^2 \rangle - \langle x x' \rangle^2)^{1/2}$$

For an upright uniform beam (in phase space):  $\langle x^2 \rangle = \frac{r_x^2}{4}$   $\langle x'^2 \rangle = \frac{x'_{max}^2}{4}$

&  $\langle x x' \rangle = 0$

$$\Rightarrow \epsilon_x = r_x x'_{max} = \frac{\text{Area}}{\pi}$$

## NORMALIZED EMITTANCE

For a beam that is accelerating, return to  $x, p_x$  as definition of phase space area:

$$p_x = \gamma m v_x = \gamma m v_z x' \quad \text{AGAIN, ASSUMING } v \approx v_z$$

$$\Rightarrow \epsilon_{Nx} \equiv 4 \gamma \beta \left( \langle x^2 \rangle \langle x'^2 \rangle - \langle x x' \rangle^2 \right)^{1/2} = \gamma \beta \epsilon_x$$

$$= \frac{\gamma}{m} \left( \langle x^2 \rangle \langle p_x^2 \rangle - \langle x p_x \rangle^2 \right)^{1/2}$$

SINCE EMITTANCE IS THE AVERAGE PHASE SPACE AREA OF BEAM (AVERAGING OVER EMITTANCE) THE EMITTANCE IN GENERAL GROWS AS A BEAM FILMENTS (ENGULFING EMITTANCE).

## BRIGHTNESS

THE DENSITY OF PARTICLES IN 6-D PHASE SPACE IS:

$$\frac{dN}{dx dy dz dx' dy' dz'} = f \quad \leftarrow \text{MICROSCOPIC DENSITY}$$

DEFINE A QUANTITY  $\bar{f}$  WHICH IS THE PHASE-SPACE DENSITY IN AN AVERAGE SENSE

$$\bar{f} = \left\langle \frac{dN}{dx dy dz dx' dy' dz'} \right\rangle = \frac{(I dt) / q}{\pi^2 \epsilon_{Nx} \epsilon_{Ny} \epsilon_{Nz}}$$

Note  $f(x, p) = \text{constant}$  along a trajectory, whereas  $\bar{f}$  usually is a decreasing function of  $z$ .

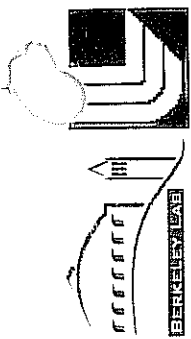
NORMALIZED BRIGHTNESS  $B_N \equiv \frac{I}{\epsilon_{Nx} \epsilon_{Ny}}$

IS A USEFUL MEASURE OF 4D AVERAGE PHASE SPACE DENSITY, (if  $dt = \text{constant}$ ,  $f \perp$  all motion is uncoupled.)

For non-accelerating beams the unnormalized brightness  $B$  (also if  $dt = \text{const.}$   $f \perp$  all motion uncoupled):

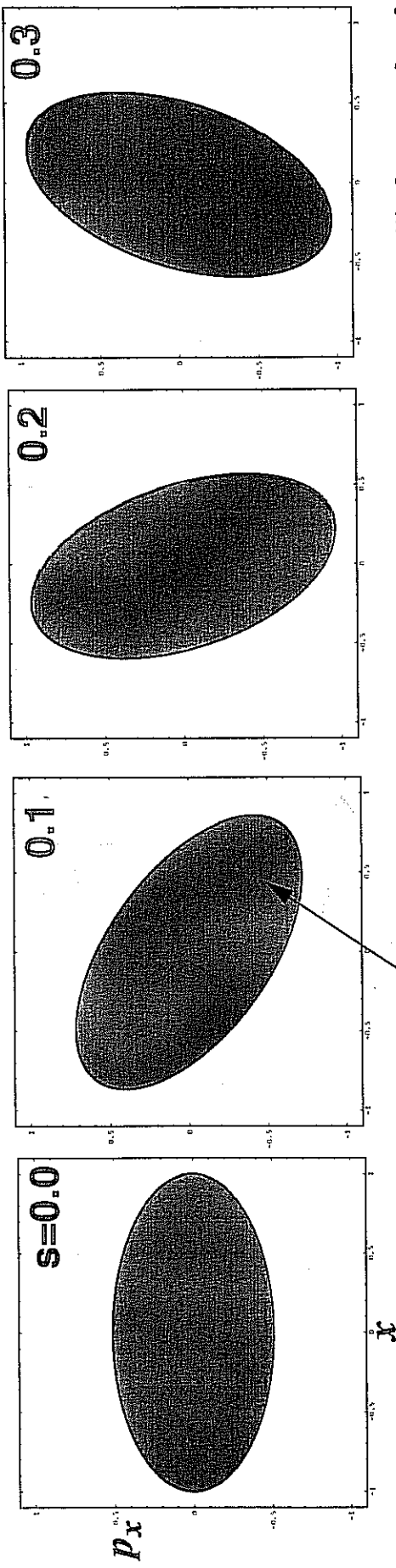
$$\Rightarrow B \equiv \frac{I}{\epsilon_x \epsilon_y} \quad \text{MEASURES PHASE SPACE DENSITY.}$$





# Emittance constant for linear force profile & matched beams

Linear force profile ( $x'' = -k^2 x$ )  $\Rightarrow$  Phase space area preserved, ellipse stays elliptical.



Emittance = phase space area      Here, width of beam is oscillating or "mismatched."  
 Emittance constant if forces linear

Non-linear forces (e.g.  $x'' = -k^2 x + \epsilon x^3$ )  $\Rightarrow$  position-dependent frequency

$\Rightarrow$  phase mixing, increasing effective area  $\Rightarrow$  Emittance increases if forces non-linear

