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Injectors and longitudinal physics -- I

1. Fluid equations
2. Child-Langmuir Law  
(Reiser 2.5.2, Appendix 1)
3. Pierce electrodes
4. Transients in injectors
5. Injector choices

# I) FLUID EQUATIONS

START WITH VLASOV EQUATION FOR  $f(\underline{x}, \underline{p}, t)$

$$\frac{\partial f(\underline{x}, \underline{p}, t)}{\partial t} + \underline{\dot{x}} \cdot \frac{\partial f(\underline{x}, \underline{p}, t)}{\partial \underline{x}} + \underline{\dot{p}} \cdot \frac{\partial f(\underline{x}, \underline{p}, t)}{\partial \underline{p}} = 0$$

HERE  $\underline{\dot{x}} = \frac{d\underline{x}}{dt} = \frac{\underline{p}}{\gamma m}$

$$\underline{\dot{p}} = \frac{d\underline{p}}{dt} = q(\underline{E}(\underline{x}, t) + \frac{\underline{p}}{\gamma m} \times \underline{B}(\underline{x}, t))$$

$$\gamma^2 = (p/mc)^2 + 1$$

INTEGRATE OVER MOMENTUM AND MULTIPLY BY VOLUME OF  $\underline{p}$

## a) CONTINUITY EQUATION

$$\int d^3p \left\{ \frac{\partial f}{\partial t} + \underline{\dot{x}} \cdot \frac{\partial f}{\partial \underline{x}} + \left( q \underline{E}(\underline{x}, t) + \frac{q \underline{p}}{\gamma m} \times \underline{B}(\underline{x}, t) \right) \cdot \frac{\partial f}{\partial \underline{p}} \right\} = 0$$

DEFINE  $n(\underline{x}, t) = \int f(\underline{x}, \underline{p}, t) d^3p$

$$n(\underline{x}, t) \underline{\bar{v}}(\underline{x}, t) = \int \frac{\underline{p}}{\gamma m} f(\underline{x}, \underline{p}, t) d^3p$$

### ① FIRST INTEGRAL

$$\int d^3p \frac{\partial f}{\partial t} = \frac{\partial}{\partial t} \int f d^3p = \frac{\partial n(\underline{x}, t)}{\partial t}$$

### ② SECOND INTEGRAL

$$\begin{aligned} \int d^3p \underline{\dot{x}} \cdot \frac{\partial f}{\partial \underline{x}} &= \int d^3p \frac{\underline{p}}{\gamma m} \cdot \frac{\partial f}{\partial \underline{x}} = \frac{\partial}{\partial \underline{x}} \cdot \int d^3p \frac{\underline{p}}{\gamma m} f d^3p \\ &= \frac{\partial}{\partial \underline{x}} \cdot n \underline{\bar{v}} \end{aligned}$$

③ THIRD INTEGRAL

$$\int d^3p \left( q \underline{E} + \frac{q}{\gamma m} \underline{p} \times \underline{B} \right) \frac{\partial f}{\partial \underline{p}}$$

$\swarrow$   $\searrow$   
 $\underbrace{q \underline{E} f}_{=0} \Big|_{p=-\infty}^{p=+\infty}$        $\int \frac{q}{\gamma m} (p_y B_z - p_z B_y) \frac{\partial f}{\partial p_x} dx dy dz + \dots$

$$\int \frac{q}{\gamma^3 m^3 c^2} (p_y B_z - p_z B_y) p_x$$

$$+ \frac{q}{\gamma^3 m^3 c^2} (p_z B_x - p_x B_z) p_y$$

$$+ \frac{q}{\gamma^3 m^3 c^2} (p_x B_y - p_y B_x) p_z$$

$= 0$  !

$$\int_{-\infty}^{\infty} u v' dx = u v \Big|_{-\infty}^{\infty} - \int_{-\infty}^{\infty} u' v dx$$

$$u = \frac{q}{\gamma m} (p_y B_z - p_z B_y)$$

$$u' = \frac{-q}{\gamma^3 m} (v_y B_z - p_z B_y) \frac{\partial \gamma}{\partial p_x}$$

$$v = f$$

$$v' = \frac{\partial f}{\partial p_x}$$

$$\gamma^2 = \frac{p_x^2 + p_y^2 + p_z^2}{m^2 c^2} + 1$$

$$\Rightarrow 2\gamma \frac{\partial \gamma}{\partial p_x} = \frac{2 p_x}{m^2 c^2}$$

So  $\int d^3p \left\{ \frac{\partial f}{\partial t} + \underline{\dot{x}} \cdot \frac{\partial f}{\partial \underline{x}} + \underline{\dot{p}} \cdot \frac{\partial f}{\partial \underline{p}} \right\} = 0$

$\Rightarrow$

$$\frac{\partial n(\underline{x}, t)}{\partial t} + \frac{\partial}{\partial \underline{x}} \cdot n(\underline{x}, t) \underline{v}(\underline{x}, t) = 0$$

CONTINUITY EQUATION  $\uparrow$   $q n(\underline{x}, t) \underline{v}(\underline{x}, t) = \underline{J}(\underline{x}, t)$

CURRENT DENSITY  $\uparrow$

ALTERNATIVELY  $\frac{\partial \rho}{\partial t} + \nabla \cdot \underline{J} = 0$

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## b) MOMENTUM EQUATION

(FOR SIMPLICITY: ASSUME NON-RELATIVISTIC)

$$\dot{\underline{x}} = \frac{\underline{p}}{m} \quad \dot{\underline{p}} = q(\underline{E}(x,t) + \frac{\underline{p}}{m} \times \underline{B}(x,t))$$

MULTIPLY BY  $\dot{\underline{x}}$  & INTEGRATE OVER MOMENTUM ( $\int^3 p$ )

$$\int \int^3 p \left\{ \dot{\underline{x}} \frac{\partial f}{\partial t} + \dot{\underline{x}} \cdot \dot{\underline{x}} \cdot \frac{\partial f}{\partial \underline{x}} + \dot{\underline{x}} \left( q\underline{E} + \frac{\underline{p}}{m} \times \underline{B} \right) \cdot \frac{\partial f}{\partial \underline{p}} \right\} = 0$$

DEFINE  $\underline{P} \equiv m \int \int^3 p (\underline{x} - \underline{v})(\underline{x} - \underline{v}) f(x, p, t)$

( $\underline{P} \equiv$  pressure tensor)

$$\begin{aligned} &= m \int \int^3 p \underline{x} \underline{x} f - 2m \underline{v} \int \int^3 p \underline{x} f + m \underline{v} \underline{v} \int \int^3 p f \\ &= m \int \int^3 p \underline{x} \underline{x} f - m n \underline{v} \underline{v} \end{aligned}$$

① FIRST INTEGRAL:

$$\int \int^3 p \dot{\underline{x}} \frac{\partial f}{\partial t} = \frac{\partial}{\partial t} \int \int^3 p \underline{x} f = \frac{\partial}{\partial t} n \underline{v}$$

② SECOND INTEGRAL:

$$\begin{aligned} \int \int^3 p \dot{\underline{x}} \cdot \dot{\underline{x}} \cdot \frac{\partial f}{\partial \underline{x}} &= \frac{\partial}{\partial \underline{x}} \cdot \int \int^3 p \underline{x} \underline{x} f \\ &= \frac{1}{m} \frac{\partial}{\partial \underline{x}} \cdot \underline{P} + \frac{\partial}{\partial \underline{x}} \cdot n \underline{v} \underline{v} \\ &= \frac{1}{m} \frac{\partial}{\partial \underline{x}} \cdot \underline{P} + \left( \frac{\partial}{\partial \underline{x}} \cdot n \underline{v} \right) \underline{v} + n \underline{v} \cdot \frac{\partial \underline{v}}{\partial \underline{x}} \end{aligned}$$

③ THIRD INTEGRAL:

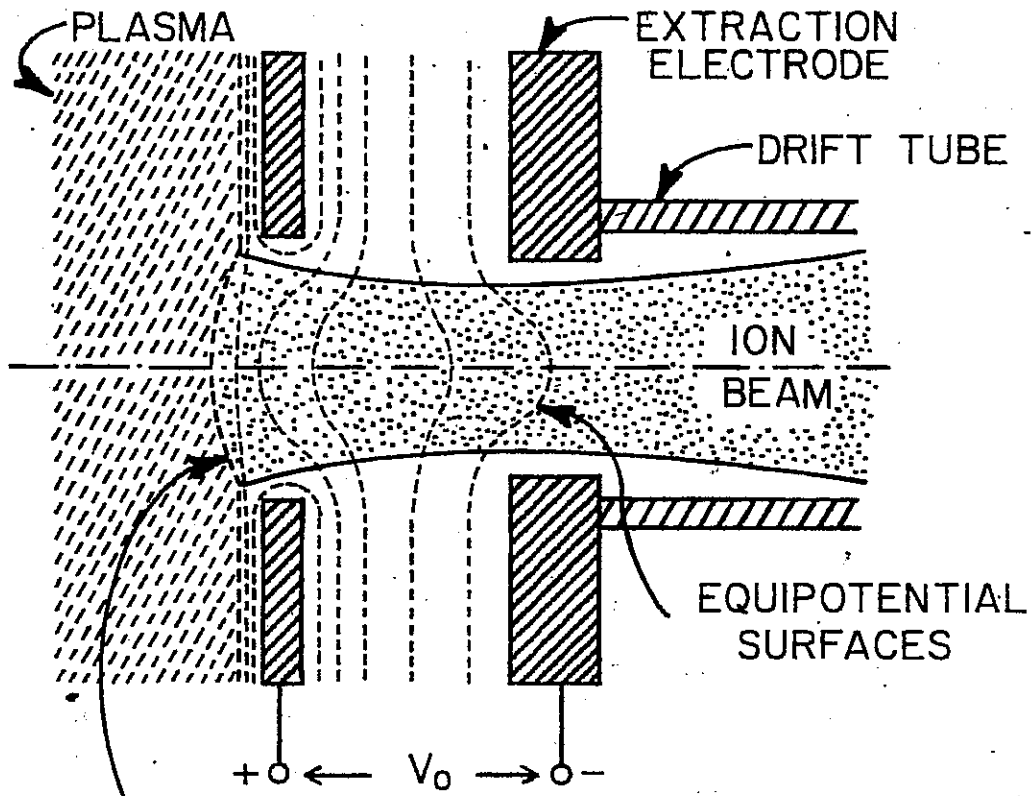
$$\begin{aligned} &\int \int^3 p \frac{\underline{p}}{m} (q\underline{E} + q \frac{\underline{p}}{m} \times \underline{B}) \cdot \frac{\partial f}{\partial \underline{p}} \\ &= \underbrace{f \frac{\underline{p}}{m} (q\underline{E} + q \frac{\underline{p}}{m} \times \underline{B})}_{=0} \Big|_{-\infty}^{\infty} - \int \int^3 p \frac{\underline{p}}{m} (q\underline{E} + q \frac{\underline{p}}{m} \times \underline{B}) f \\ &= -\frac{nc}{m} (q\underline{E} + q \underline{v} \times \underline{B}) \end{aligned}$$

$$\begin{aligned} u &\frac{\underline{p}}{m} (q\underline{E} + q \frac{\underline{p}}{m} \times \underline{B}) \\ u' &\frac{1}{m} (q\underline{E} + q \frac{\underline{p}}{m} \times \underline{B}) \\ v &\frac{\partial f}{\partial \underline{p}} \\ v' &\frac{\partial f}{\partial \underline{p}} \cdot \underline{p} \end{aligned}$$



# INJECTORS

(5)



EMITTING SURFACE  
(PLASMA "SHEATH" OR "MENISCUS")  
OR "HOT PLATE"

REISER, FIGURE 1.2

- DOPED TUNGSTEN
- ALUMINO-SILICATE



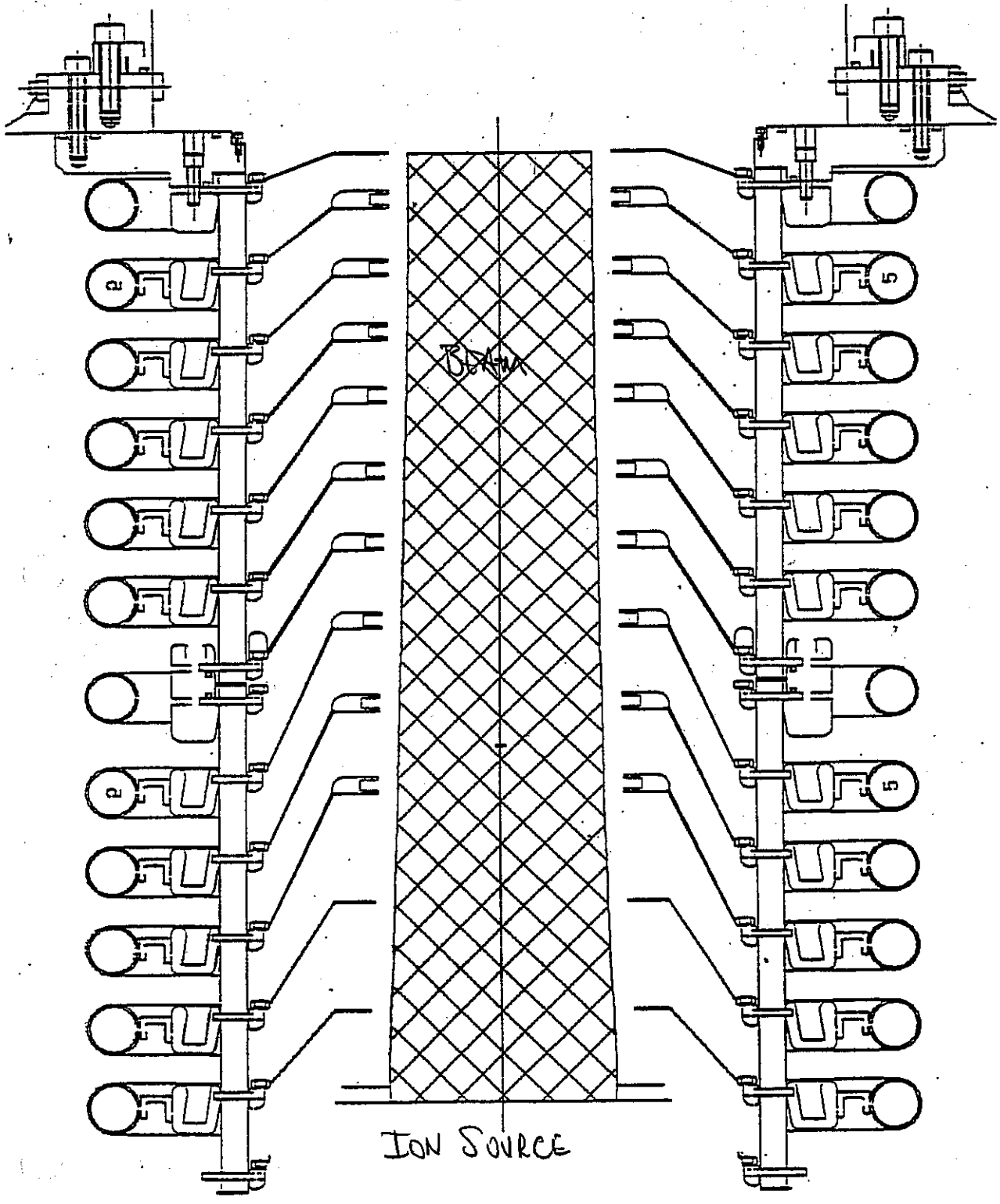




# PIERCE COLUMN

$V \sim z^{4/3}$

$E \sim z^{1/3}$



~~WE~~ <sup>DEVELOP</sup> THE PARAXIAL RAY EQUATION FOR PARTICLES IN AXISYMMETRIC SYSTEMS:

$$r'' + \underbrace{\frac{\gamma'}{\beta^2 \gamma}}_{\text{INERTIAL}} r' + \underbrace{\frac{\gamma^4}{2\beta^2 \gamma}}_{E_r} r + \underbrace{\left(\frac{\omega_c}{2\gamma\beta c}\right)^2}_{V_0 B_z - \text{CENTRIFUGAL}} r - \underbrace{\left(\frac{p_0}{\gamma\beta m c}\right)^2 \frac{1}{r^3}}_{\text{CENTRIFUGAL}} - \underbrace{\frac{q}{\gamma^3 m v_z^2} \frac{\lambda(r)}{2\beta r^2}}_{\text{SELF-FIELD}} = 0$$

$$\theta' = \frac{p_0}{\gamma m r^2 \beta c} - \frac{\omega_c}{2\gamma\beta c} \quad \leftarrow \text{CONSTANCY \& DEFINITION OF CANONICAL MOMENTUM}$$

ENVELOPE EQUATION FOR AXISYMMETRIC BETA

$$r_b'' + \frac{\gamma' r_b'}{\beta^2 \gamma} + \frac{\gamma''}{2\beta^2 \gamma} r_b + \left(\frac{\omega_c}{2\gamma\beta c}\right)^2 r_b - \frac{4\langle V_0 \rangle^2}{(\gamma\beta m c)^2 r_b^3} - \frac{E_r^z}{\gamma_b^3} - \frac{Q}{r_b} = 0$$

$$E_r^z \equiv 4(\langle r^2 \rangle \langle v_{r,z}^2 \rangle - \langle r v_{r,z} \rangle^2 + \langle r^2 \rangle \langle v_{\theta,z}^2 \rangle - \langle r^2 \theta' \rangle^2)$$

RETURNING TO PARAXIAL ENVELOPE EQUATION:

$$(for \beta \ll 1) \quad v_b'' + \frac{\beta'}{\beta} v_b' + \left[ \frac{1}{2} \frac{\beta'^2}{\beta^2} + \frac{1}{2} \frac{\beta''}{\beta} \right] v_b - \frac{Q}{v_b} = 0$$

$$for \quad v_b'' = \frac{\beta'}{\beta} v_b' = 0$$

IF  $v = v_0 (z/d)^{1/3}$

$$v = C z^{1/3}$$

$$v' = \frac{z}{3} C z^{-4/3}$$

$$v'' = \frac{-z}{9} C z^{-4/3}$$

$$\Rightarrow \left[ \frac{1}{2} \frac{\beta'^2}{\beta^2} + \frac{1}{2} \frac{\beta''}{\beta} \right] v_b^2 = Q$$

$$\left[ \frac{z}{9} \frac{1}{z^2} \quad \frac{-1}{9} \frac{1}{z^2} \right]$$

$$\Rightarrow Q(z) = \frac{1}{9} \frac{v_b^2}{z^2}$$

So Child-Langmuir flow satisfies the  
PARAXIAL ENVELOPE EQUATION FOR  
A CONSTANT BEAM RADIUS (AS IT SHOULD!)

# PIERCE'S ELECTRODES: GOING BEYOND

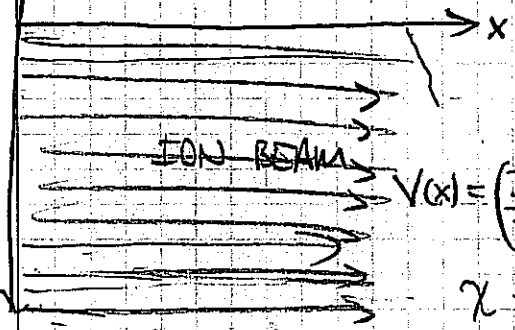
## PARAXIAL APPROXIMATION

CONSIDER THE CASE A BEAM WHICH FILLS THE LOWER HALF-SPACE.

y ↑

CHARGE FREE REGION  $\nabla^2 \phi = 0$

$$\frac{\partial^2 \phi}{\partial x^2} + \frac{\partial^2 \phi}{\partial y^2} = 0$$



$$V(x) = \left(\frac{J}{\gamma}\right)^{2/3} x^{4/3}$$

$$\gamma = \left(\frac{4\epsilon_0}{9}\right) \sqrt{\frac{2q}{m}}$$

FIND SOLUTION

SUCH THAT

$$\frac{\partial \phi(x, y=0)}{\partial y} = 0$$

$$\phi(x, y=0) = V(x)$$

PIERCE'S SOLUTION: LET THE POTENTIAL BE THE REAL PART

OF 
$$\phi + iW = V(x+iy) \equiv V(z) \quad z = x+iy$$

NOTE THAT FOR ANY  $V(z)$  WITH DERIVATIVES THAT EXIST INDEPENDENT OF DIRECTION (ANALYTIC) THE REAL PART OF  $V(z)$

SATISFIES LAPLACE'S EQUATION: 
$$\frac{\partial^2 \text{Re}[V]}{\partial x^2} + \frac{\partial^2 \text{Re}[V]}{\partial y^2} = 0$$

$$\frac{\partial \phi}{\partial x} = \text{Re} \left[ \frac{\partial V}{\partial z} \right] \quad \frac{\partial \phi}{\partial y} = \text{Re} \left[ i \frac{\partial V}{\partial z} \right]$$

$$\frac{\partial^2 \phi}{\partial x^2} = \text{Re} \left[ \frac{\partial^2 V}{\partial z^2} \right] \quad \frac{\partial^2 \phi}{\partial y^2} = -\text{Re} \left[ \frac{\partial^2 V}{\partial z^2} \right] \Rightarrow \frac{\partial^2 \phi}{\partial x^2} + \frac{\partial^2 \phi}{\partial y^2} = \text{Re} \left[ \frac{\partial^2 V}{\partial z^2} \right] - \text{Re} \left[ \frac{\partial^2 V}{\partial z^2} \right] = 0$$











So if we know  $z_0(t)$  we can determine  $\Phi(t)$ .

$$\frac{1}{2} m \dot{z}_0^2 = qV_0 \left(\frac{z_0}{d}\right)^{4/3}$$

(since by construction, HEAD OF BEAM TRAVELS AT CHILD-LANGMUIR VELOCITY LIKE ALL PARTICLES),

$$\dot{z}_0 = \left(\frac{2qV_0}{m}\right)^{1/2} \left(\frac{z_0}{d}\right)^{2/3}$$

$$\frac{dz_0}{z_0^{2/3}} = \left(\frac{2qV_0}{m}\right)^{1/2} \frac{dt}{d^{2/3}}$$

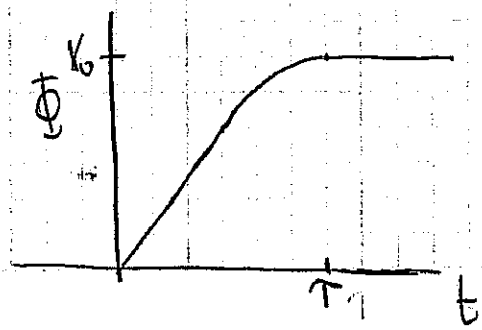
$$\Rightarrow 3z_0^{1/3} = \left(\frac{2qV_0}{m}\right)^{1/2} \frac{t}{d^{2/3}} \Rightarrow t = \frac{3(z_0 d^2)^{1/3}}{\left(\frac{2qV_0}{m}\right)^{1/2}}$$

Let  $\uparrow = \frac{3d}{\left(\frac{2qV_0}{m}\right)^{1/2}}$  = transit time across diode

$$\Rightarrow \frac{t}{\uparrow} = \left(\frac{z_0}{d}\right)^{1/3}$$

$$\Phi(d, z_0) = V_0 \left[ \frac{4}{3} \left(\frac{z_0}{d}\right)^{1/3} - \frac{1}{3} \left(\frac{z_0}{d}\right)^{4/3} \right]$$

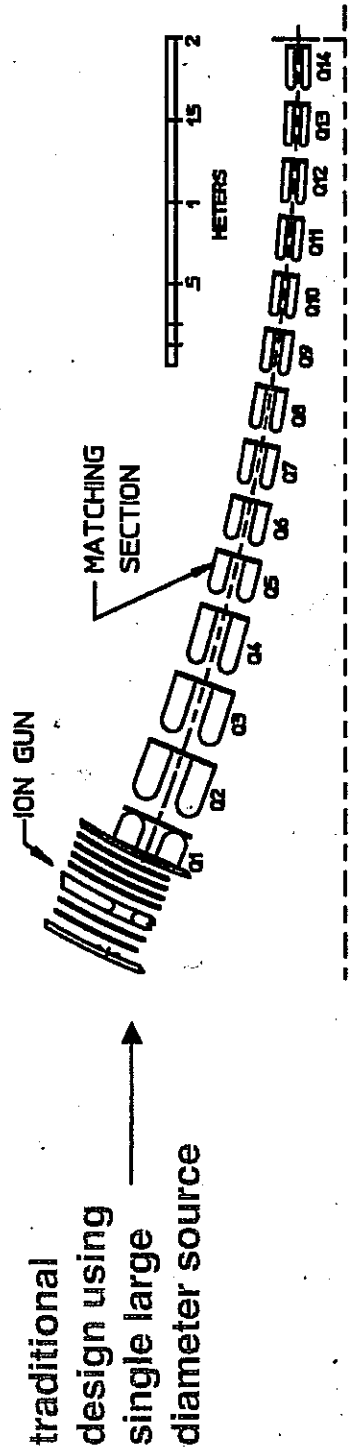
$$\Rightarrow \Phi(d, t) = \begin{cases} V_0 \left[ \frac{4}{3} \left(\frac{t}{\uparrow}\right) - \frac{1}{3} \left(\frac{t}{\uparrow}\right)^4 \right] & \text{for } 0 < t < \uparrow \\ V_0 & \text{for } t > \uparrow \end{cases}$$







MULTIPLE BEAMLET INJECTORS CAN HAVE HIGHER CURRENT DENSITY  
 DECREASING SIZE OF INJECTOR



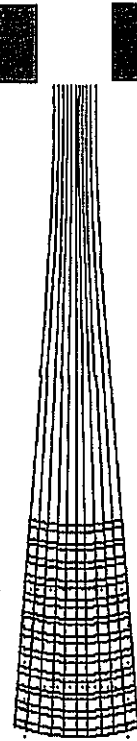
Each beamlet carries higher current density; But merging beamlets increases thermal spread.

**Child-Langmuir**  $J_{CL} \propto \frac{V^{3/2}}{d^2}$

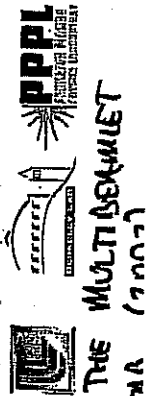
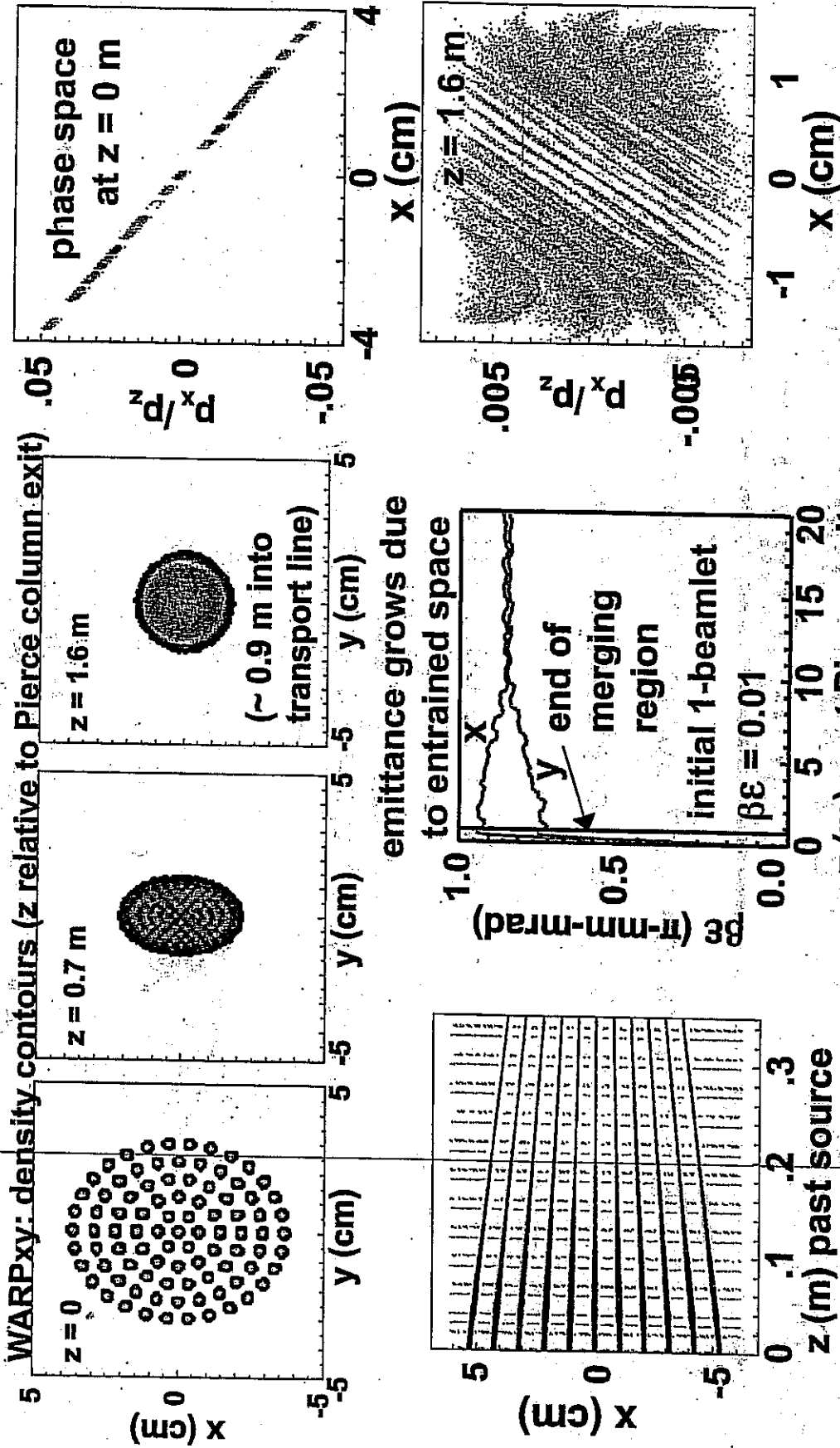
Merge and match beamlets into an ESQ channel

$J \propto V^{-1/2}$  to  $-5/2$   $\propto d^{-1/2}$  to  $-5/4$

**Breakdown limit**  $V \propto d^{1.0}$  to  $0.5$



# Simulations of merging-beamlet injector



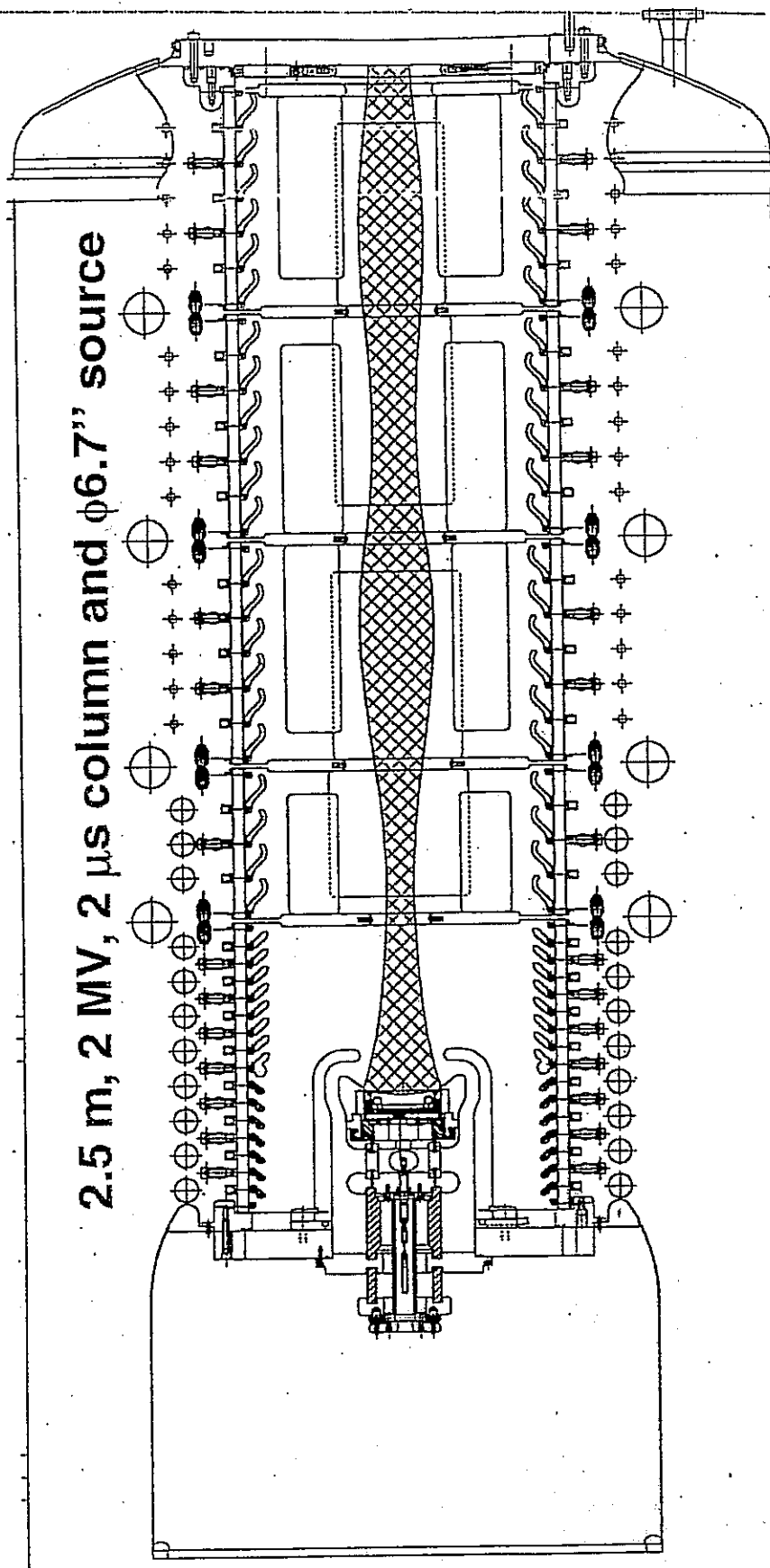
from D. I. GROTE, E. HENESTROZA, J. W. KOON, "DESIGN & SIMULATION OF THE MULTIBEAMLET TRANSPORT AND MERGING BEAMLET INJECTOR" SUBMITTED TO AECTA (2007)



# 0.8 Ampere, 2 MV $K^+$ Injector produced a $\lambda=0.25\mu C/m$ beam

Electrostatic Quadrupole Accelerator for simultaneous focusing and acceleration of ion beams to 2 MV.

2.5 m, 2 MV, 2  $\mu s$  column and  $\phi 6.7''$  source



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Figure 10 for report of reference

### SCALING OF BRIGHTNESS IN INJECTORS

$$G_N = 4 \beta \langle x^2 \rangle^{1/2} \langle x'^2 \rangle^{1/2} = \frac{4}{c} \left( \frac{v_b}{z} \right) \langle v_x^2 \rangle^{1/2}$$

$$G_{11} = 2 \pi r_b \sqrt{\frac{kT}{mc^2}}$$

$$\frac{1}{2} m v_x^2 = \frac{1}{2} kT$$

$$\Rightarrow B = \frac{I}{\epsilon_N^2} = \frac{\pi J}{4(kT/mc^2)} \sim \frac{J}{T}$$

⇒ FOR HIGH BRIGHTNESS & HIGH CURRENT  
MAY WISH TO ACCELERATE MANY BEAMLETS  
AND THEN MERGE TO FORM SINGLE BEAM.

MANY ISSUES NOT DISCUSSED HERE!

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- SOURCES
- ELECTRON TRAPPING
- CONVERGING BEAMS
- MATCHING TO AN ESQ (e.g.)
- rf
- ...