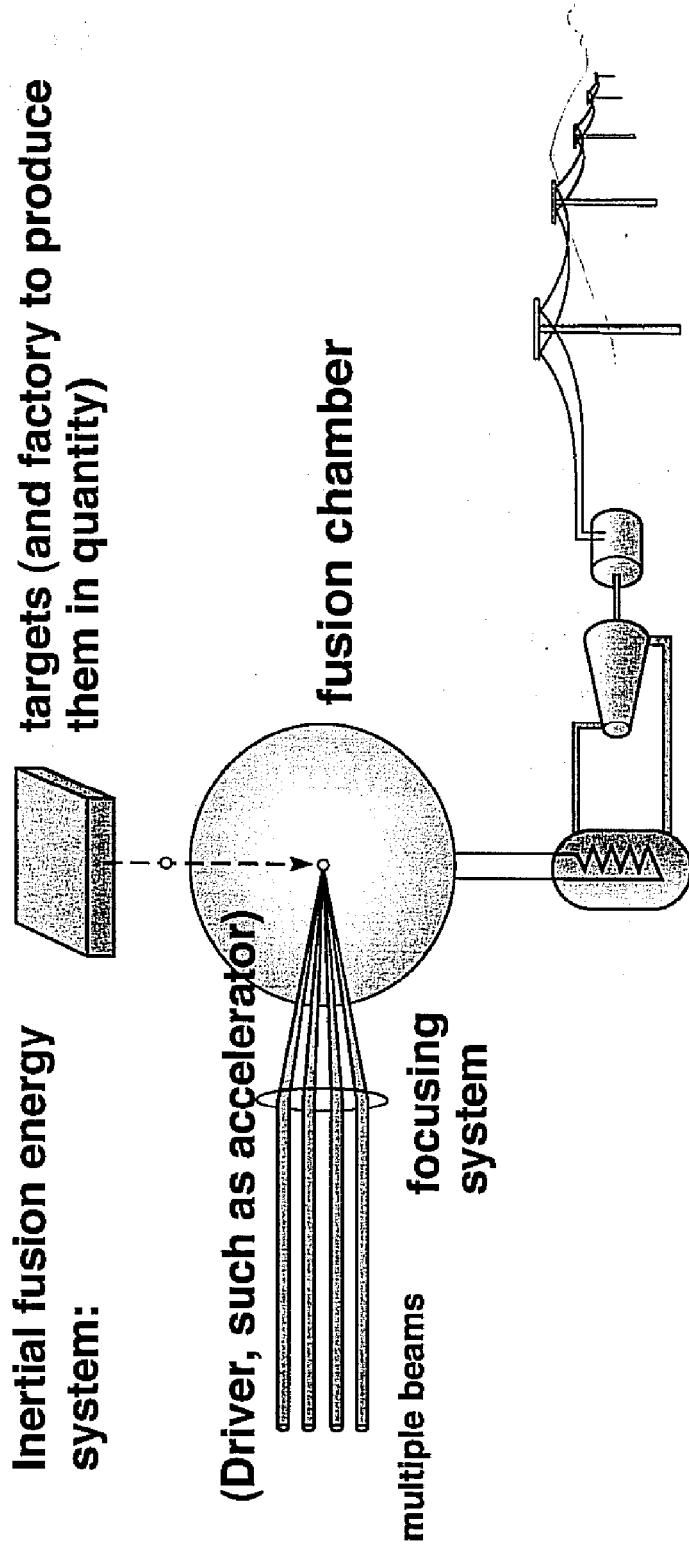


John Barnard  
Steven Lund  
NE-290H  
Spring 2009  
U.C. Berkeley

## An application of intense beams

1. Heavy-ion fusion
  - A. Requirements
  - B. Targets for ICF
  - C. Accelerator
  - D. Drift compression
  - E. Final focus
  - F. Experiments

**Inertial Fusion Energy (IFE) power plants of the future will consist of four parts**



## Heavy Ion Fusion provides an attractive approach to long term energy production



Fusion offers an inexhaustible, long term solution to the problem of future energy supplies free from long-lived radioactive by-products and greenhouse CO<sub>2</sub>.

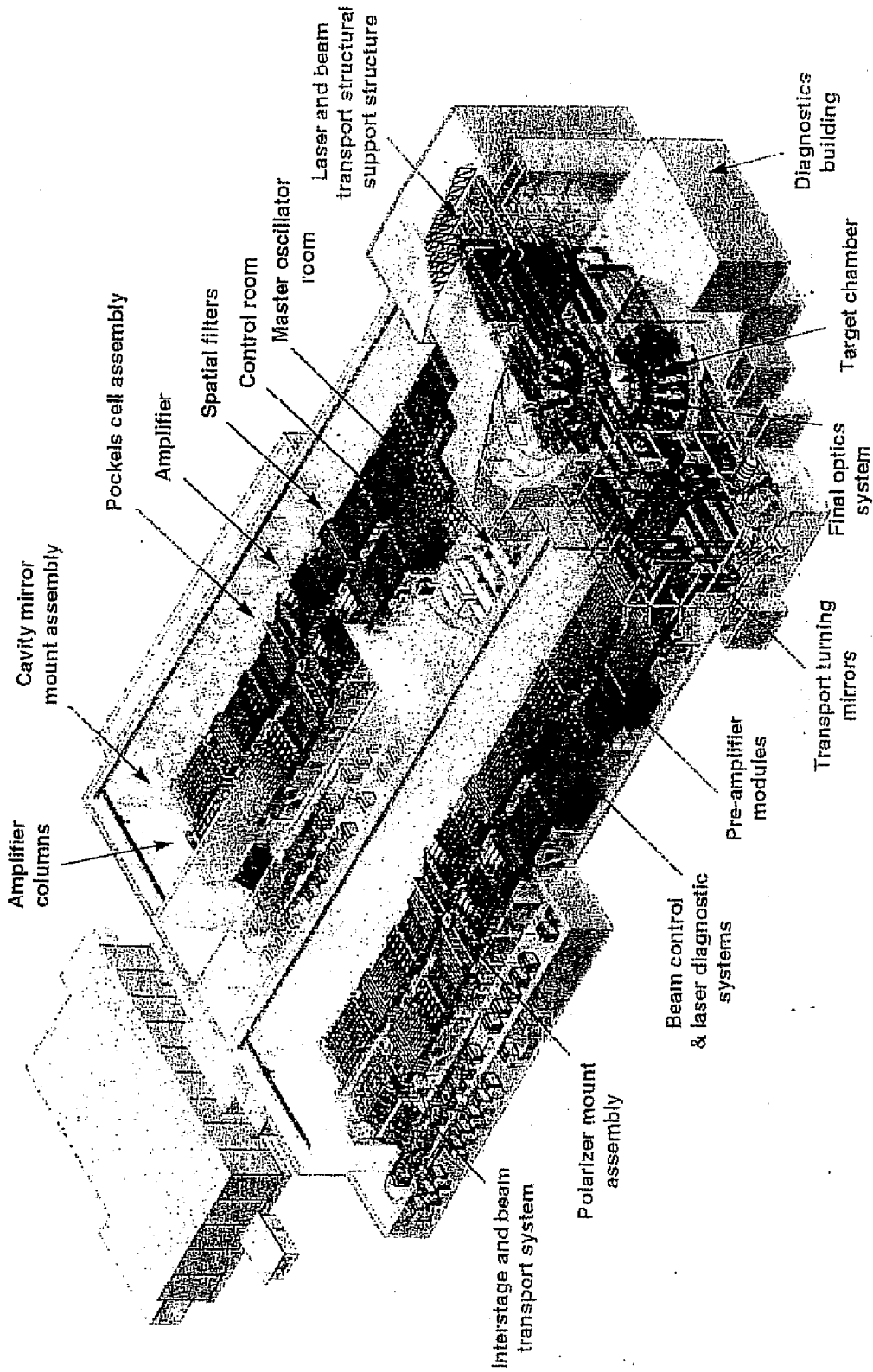
Inertial Confinement Fusion (ICF) uses laser or particle beams to implode a target, raising the temperature and density of the fuel, creating the conditions necessary for the following reaction:



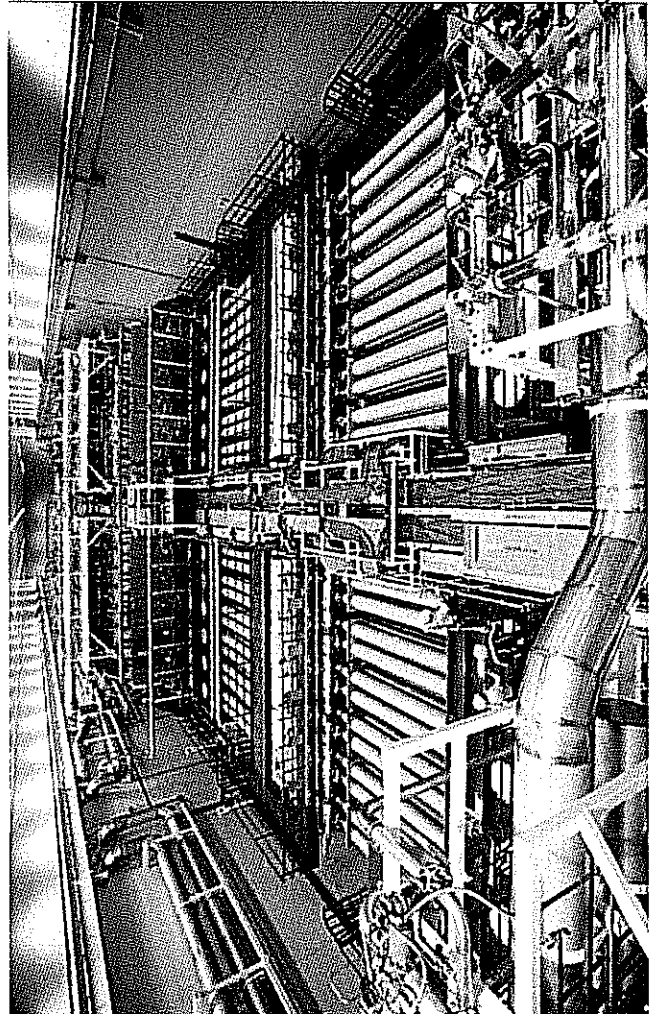
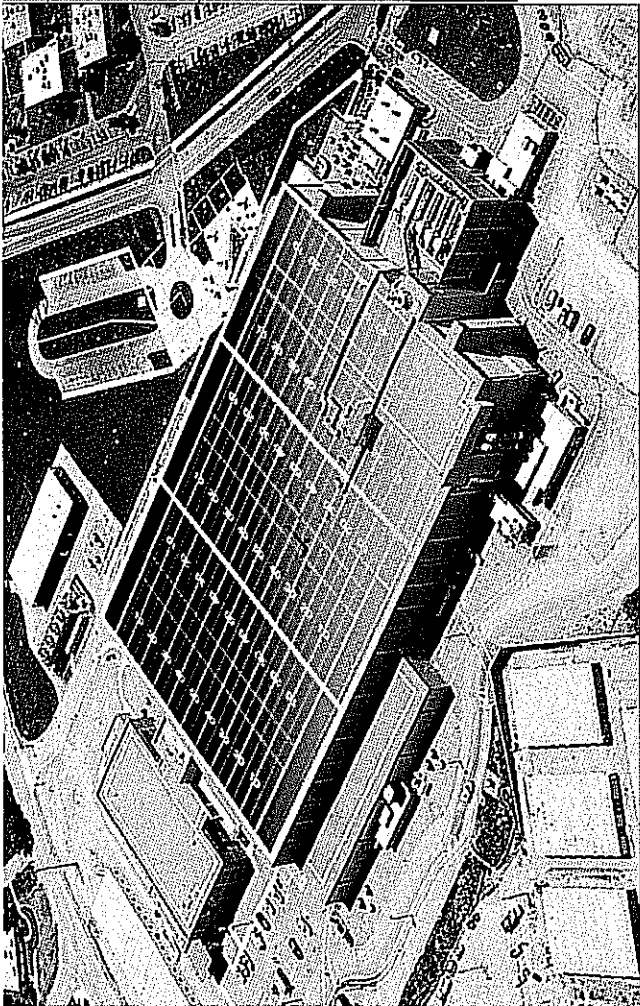
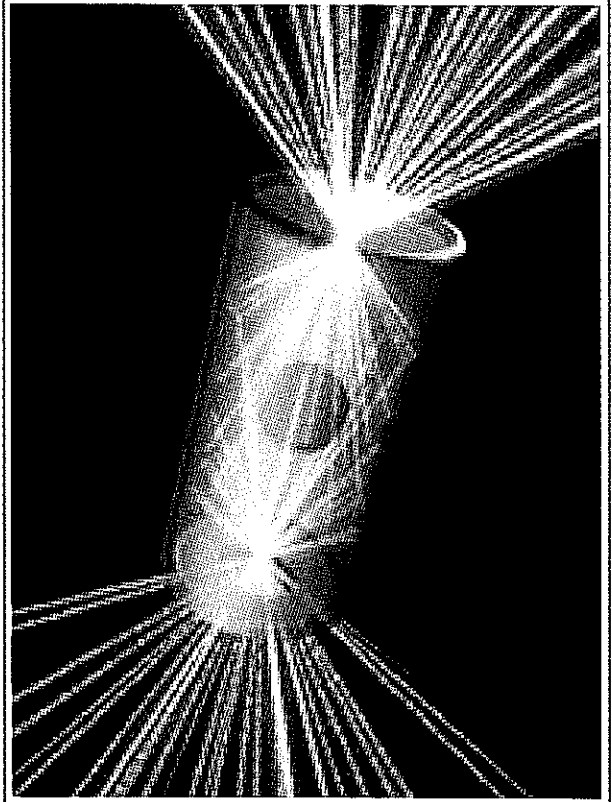
Heavy ion accelerators are a strong candidate for inertial fusion energy production (IFE) because of:

- High efficiency
- High repetition rate
- Survivability of final lens
- Favorable target illumination geometry

# National Ignition Facility has 192 beams of 40-cm aperture arranged in four beam clusters

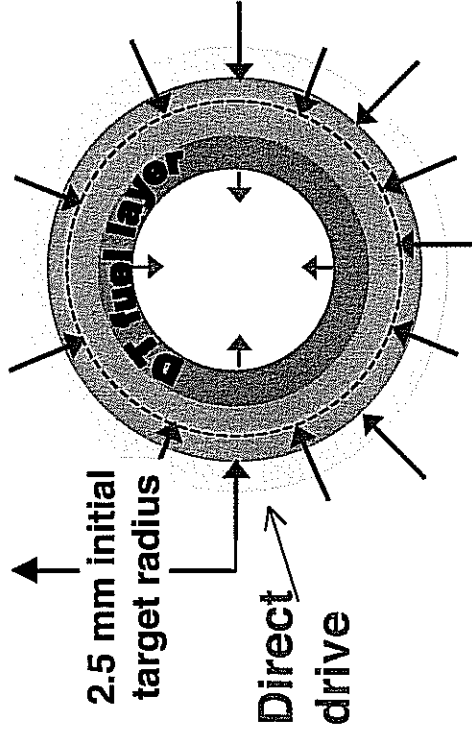


**NIF illustrates many of the features of IFE development and will play a critical role in addressing IFE feasibility**



# The two principal approaches to ICF are direct drive and indirect drive

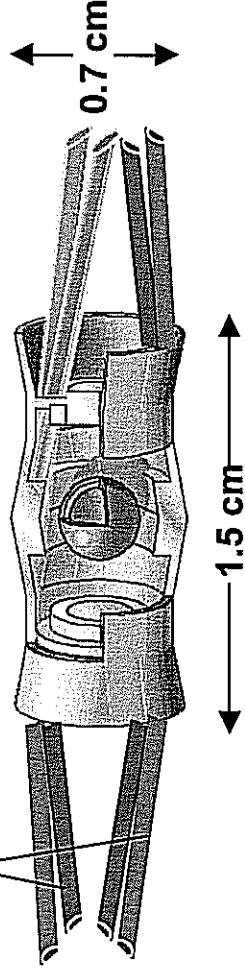
## Two types of targets:



## Direct drive advantages:

Higher coupling efficiency with potential for higher gain

## Ion beams Indirect drive



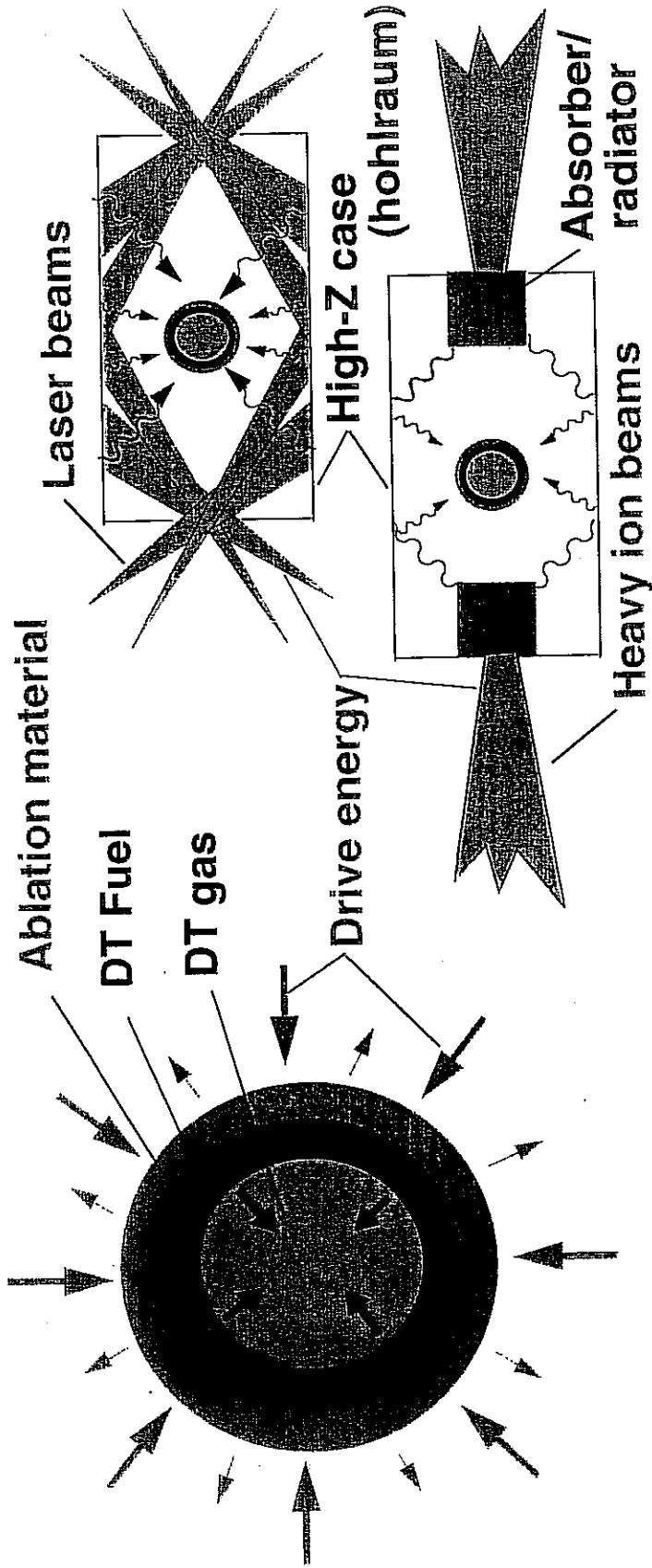
## Indirect drive advantages:

Relaxed beam uniformity (reduced hydro instability)

Significant commonality for lasers and ion beams

Significant simplification of chamber geometry

# The two principal approaches to ICF are direct drive and indirect drive



## Direct drive:

### Advantage:

Higher coupling efficiency  
(with potential for higher gain)

## Indirect drive:

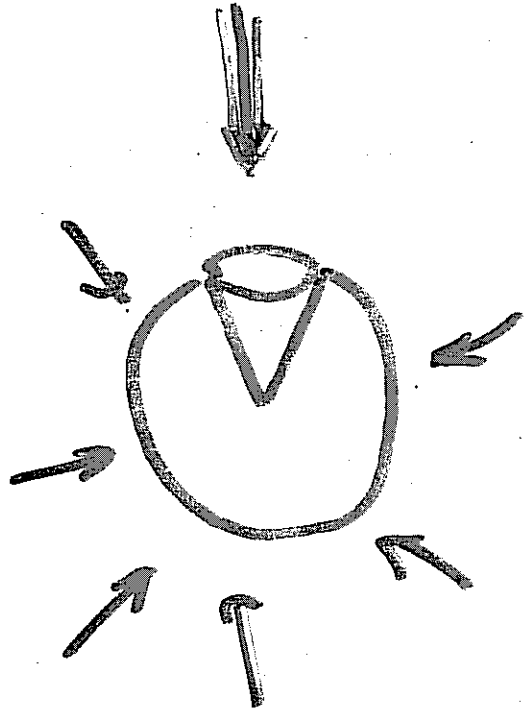
### Advantages:

Relaxed beam uniformity  
(reduced hydro instability)  
Significant commonality for lasers and ion beams  
Significant simplification of chamber geometry

"FAST IGNITION" IS AN ALTERNATIVE TO "HOT SPOT" IGNITION

- CAPSULE IS COMPLETED ON LOW ADIABAT
- SECOND "IGNITION" PULSE STARTS IGNITION PHASE

COMPRESSION PULSE  $E \sim 200 \text{ kJ} - 1 \text{ MJ}$



IGNITED PULSE

$E \sim 20 \text{ kJ}$

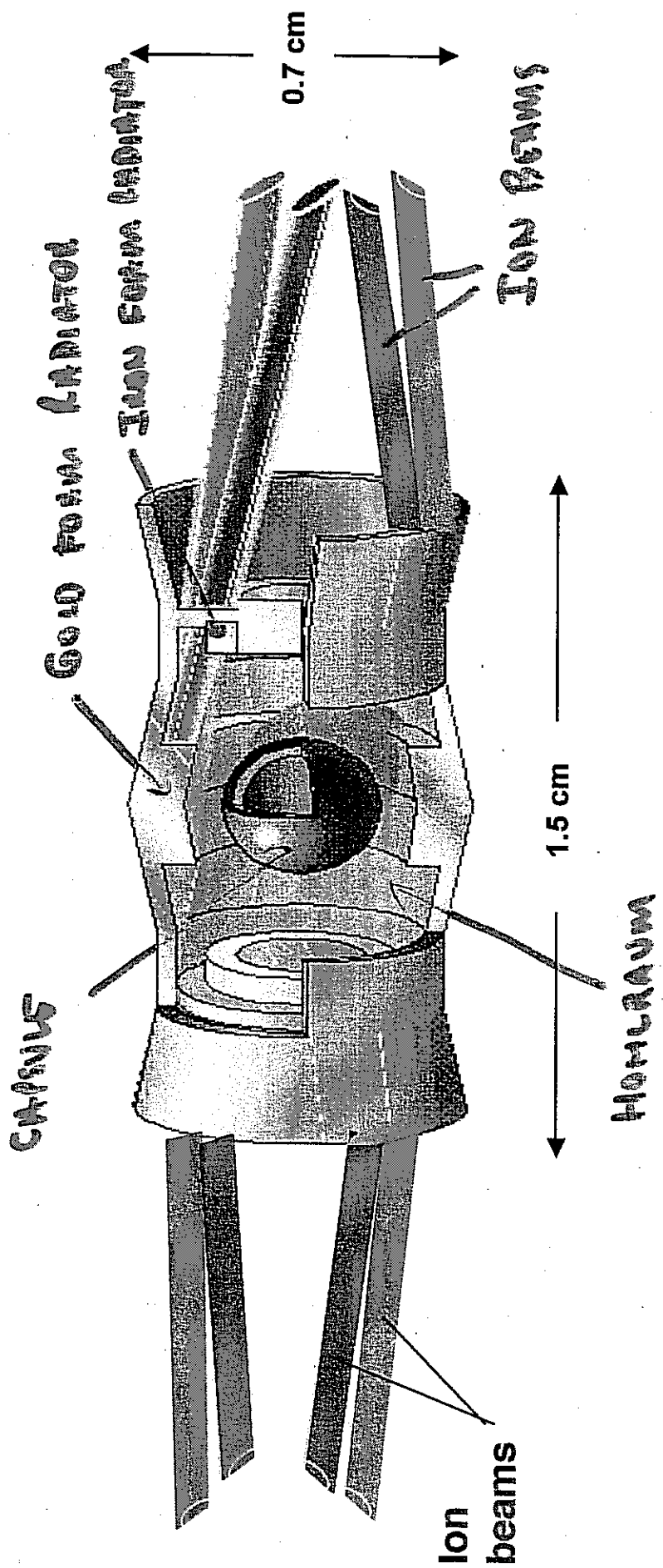
$\lambda \sim 20 \mu\text{s}$

$\nu \sim 20 \text{ Hz}$

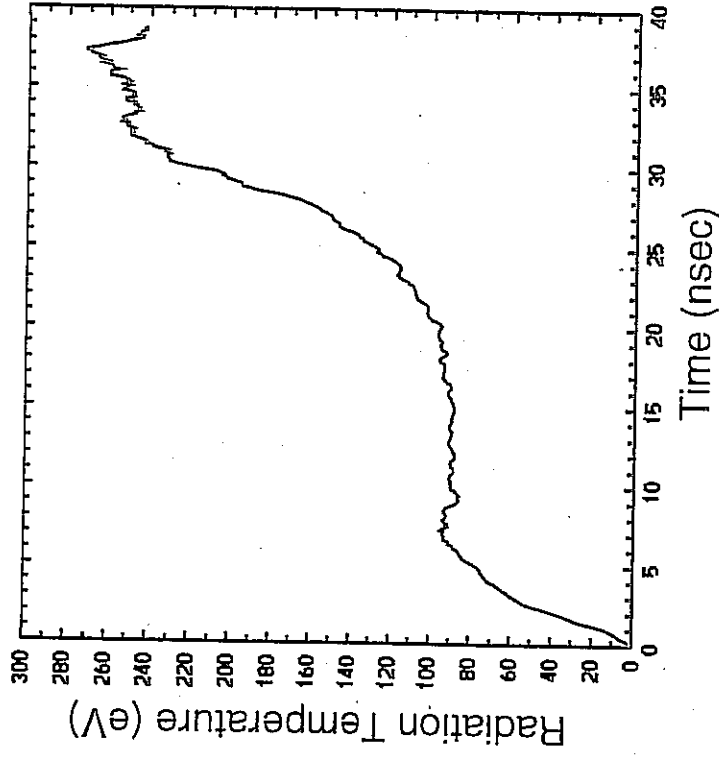
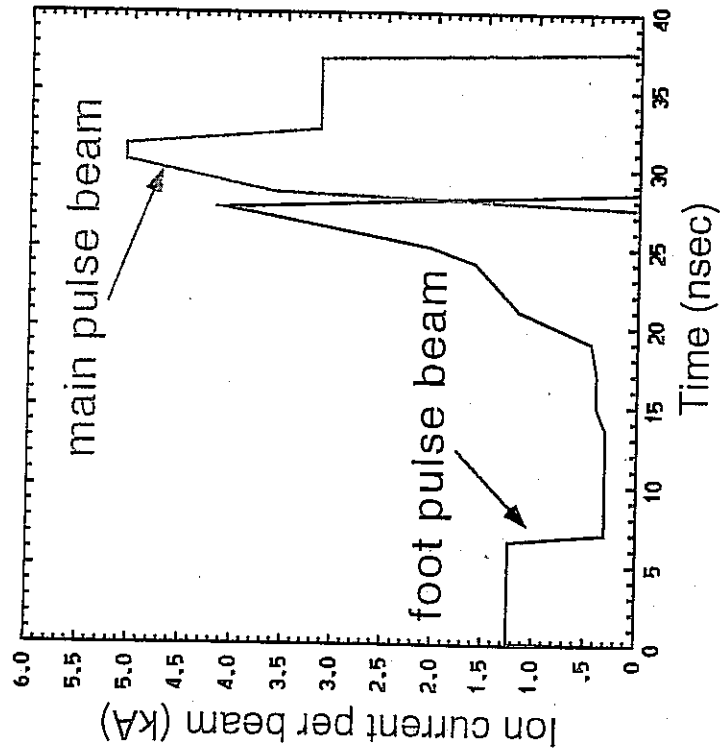
( CAPSULE  
PULSED BY  
100% BEAM )



A "DISTRIBUTED RADIATOR" TARGET PRODUCES HIGH GAIN ION RADIATION - HYDRODYNAMIC SIMULATIONS



# Ion current profile and radiation temperature

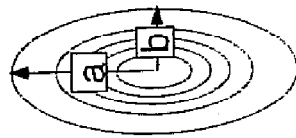


Current assumes 16 beams in foot pulse  
32 beams in main pulse

# Overlapping Gaussian, elliptical beams are focused at the end of the target



Each beam is an ellipse



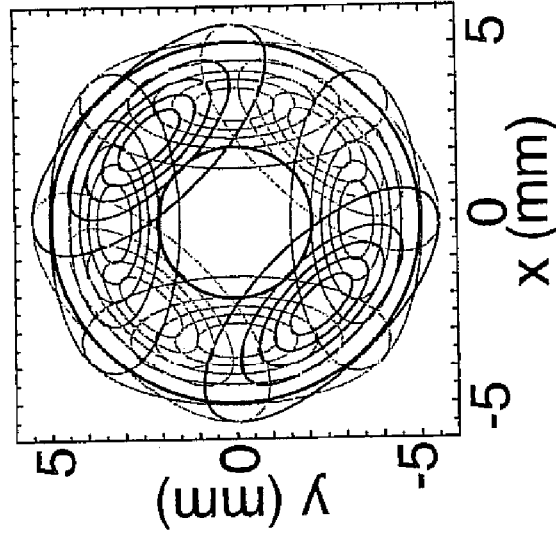
$a = 4.15 \text{ mm}$

$b = 1.8 \text{ mm}$

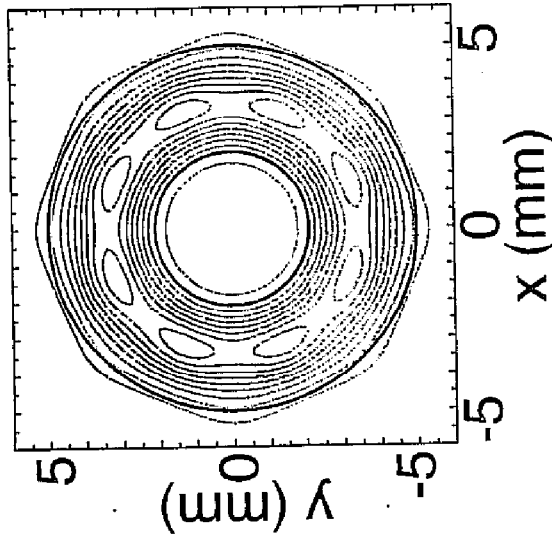
effective  $r = 2.7 \text{ mm}$

95% of charge inside

8 beams overlap in the foot pulse



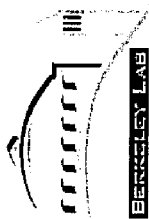
Sum of 8 foot pulse beams



Azimuthal asymmetry:

foot pulse: -1.6% in  $m=8$

main pulse: 0.06% in  $m=16$



# Why Heavy Ions?

## Target requires:

3.5 - 6 MJ in ~ 10 ns  $\Rightarrow$  ~ 500 TW

Range ~ 0.02 - .2 g/cm<sup>2</sup>

Range requirement



Higher mass  $\leftrightarrow$  higher kinetic energy

Power Requirement



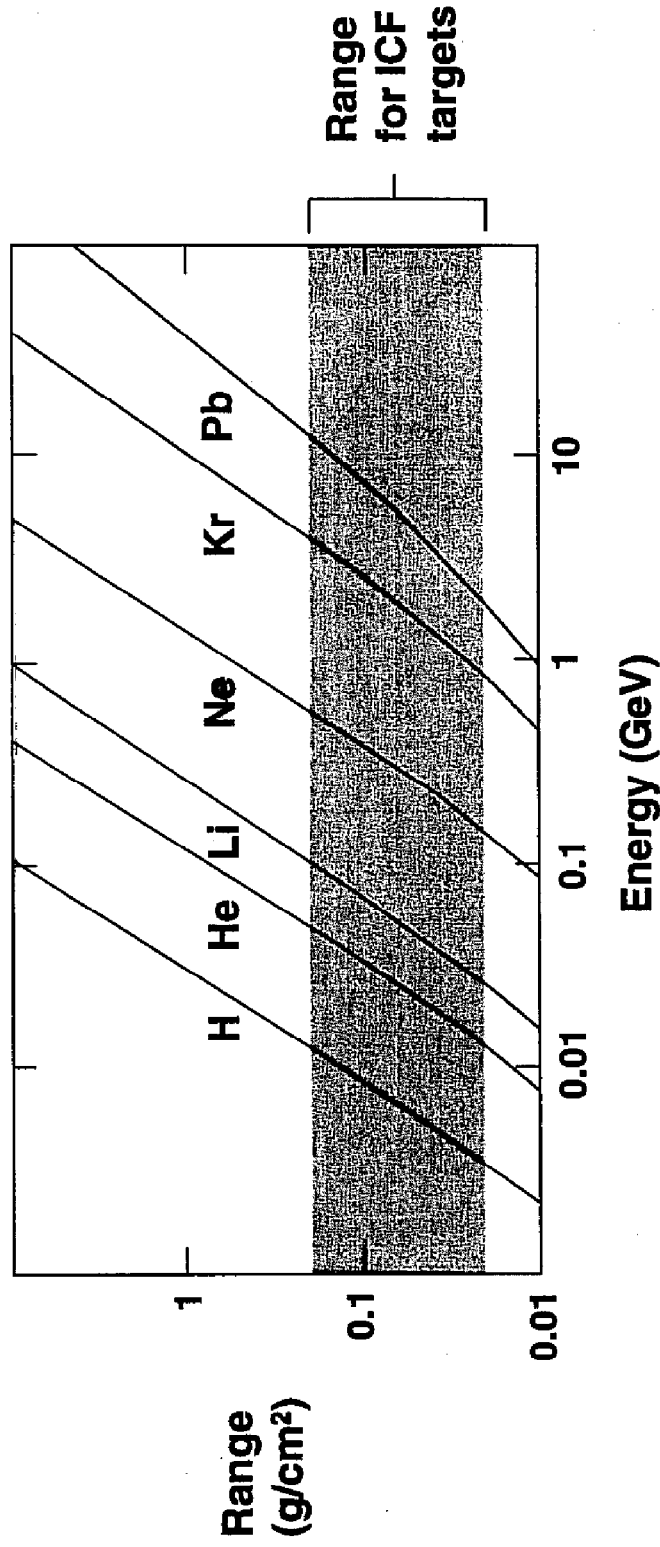
Current  $\propto \frac{1}{\text{kinetic energy}}$



**Higher mass requires lower current (easier to focus).**



# Heavier Ions $\Rightarrow$ Higher Kinetic Energy

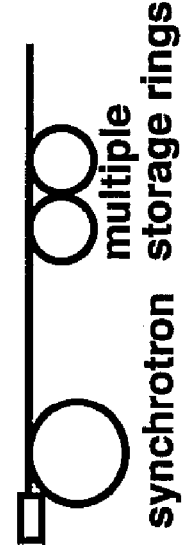
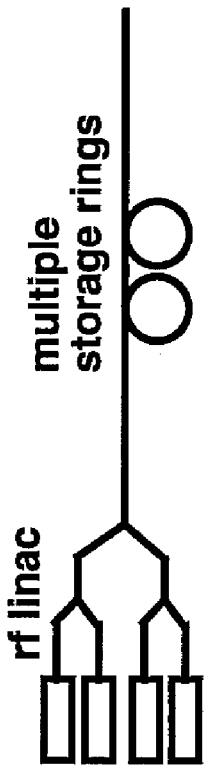
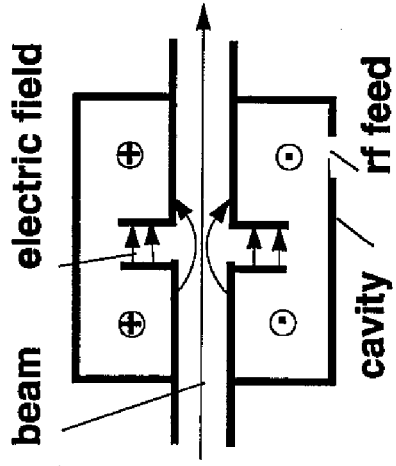


**Targets require high power (kinetic energy x current).**

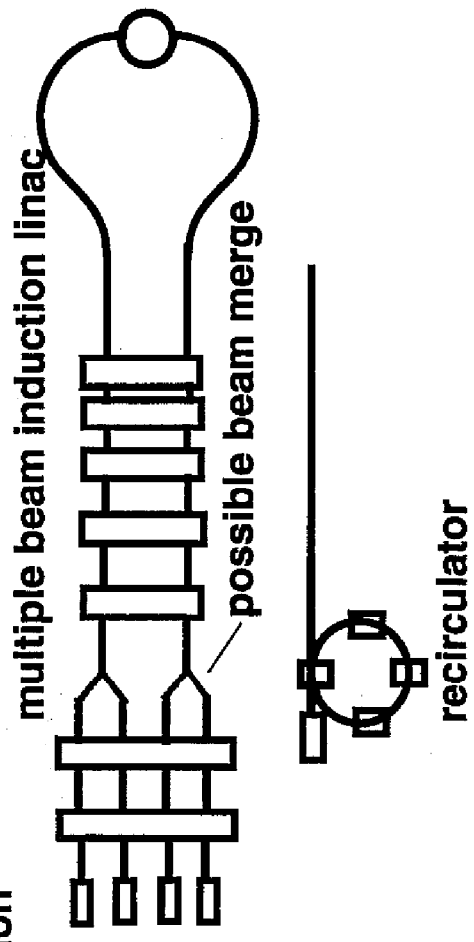
- Light Ion Fusion requires high-current, unconventional accelerators (Sandia 1970s).
- Heavy Ion Fusion requires lower currents enabling the use of more conventional high energy accelerators (Maschke ~ 1974).

# There are two principle methods of acceleration

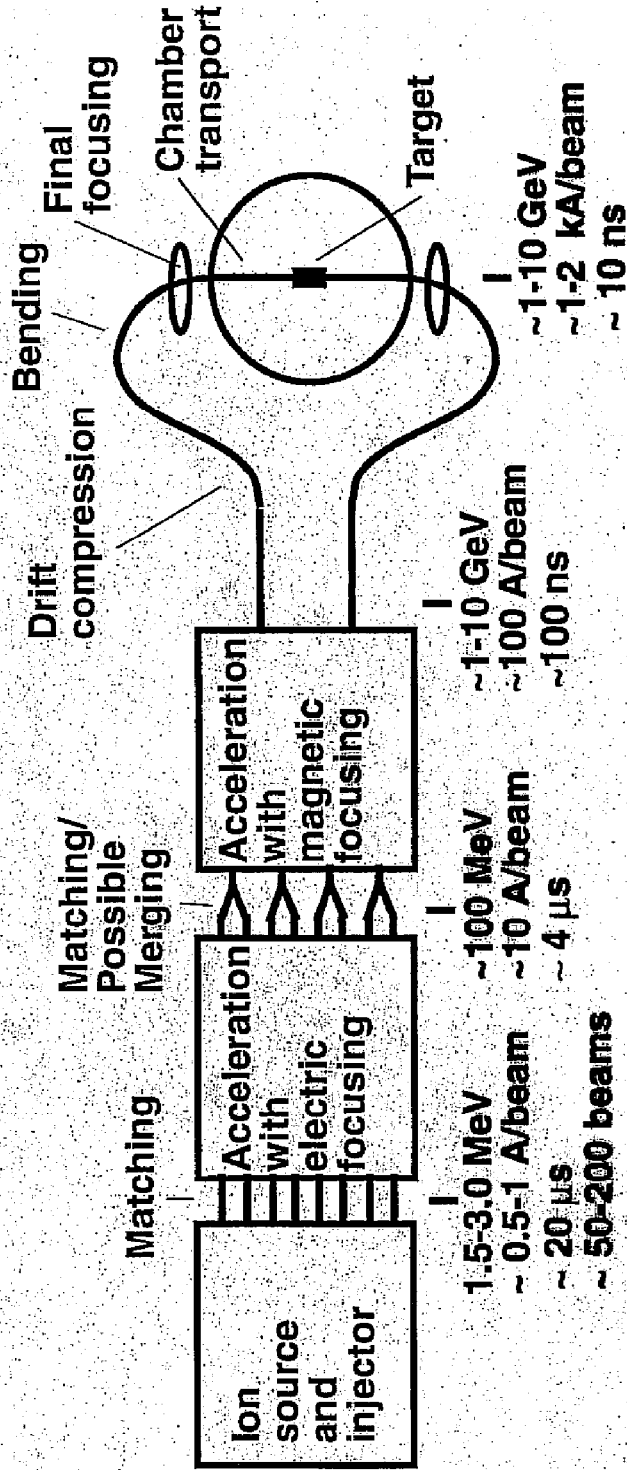
## 1. r.f. acceleration (Approach in Europe and Japan)



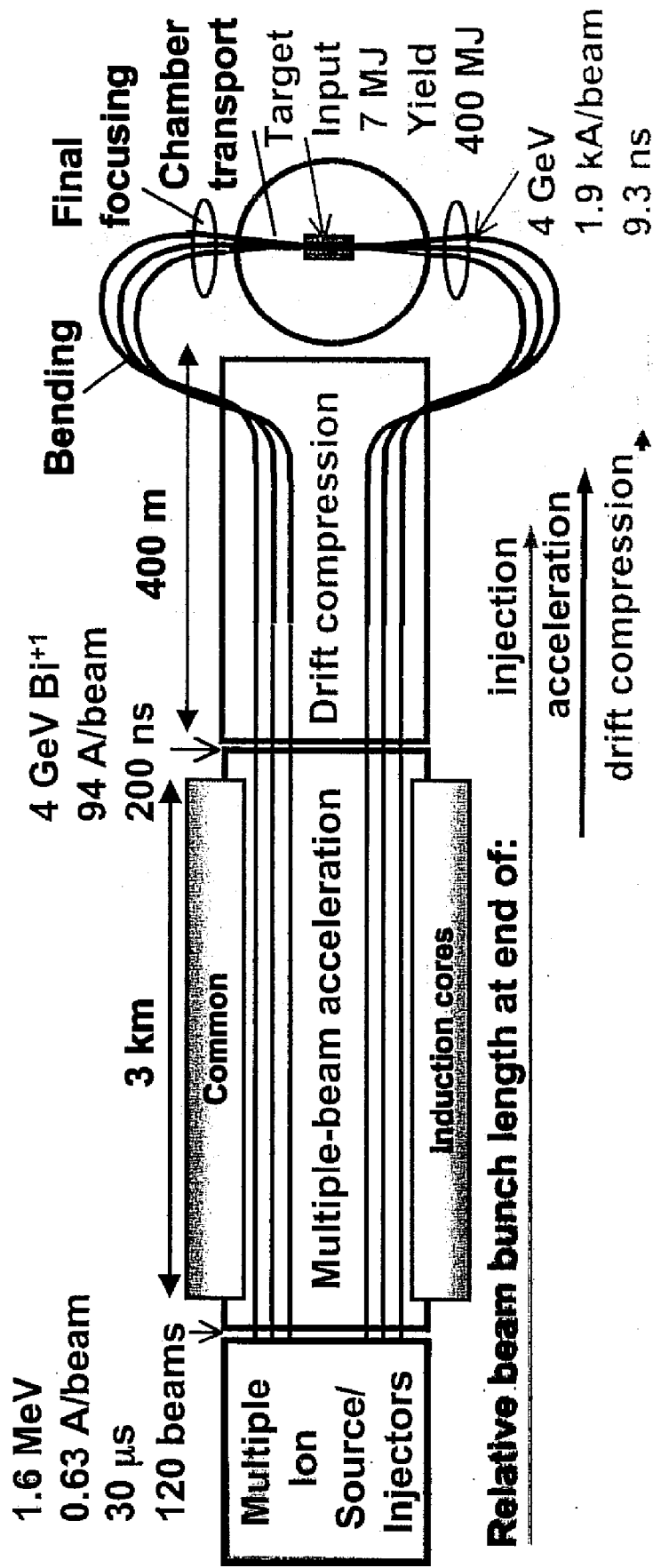
## 2. Induction acceleration (U.S. approach)



# Induction acceleration for HIF consists of several subsystems and a variety of beam manipulations



# A Robust Point Design study established a baseline for a multiple-beam quadrupole induction linac HIF driver

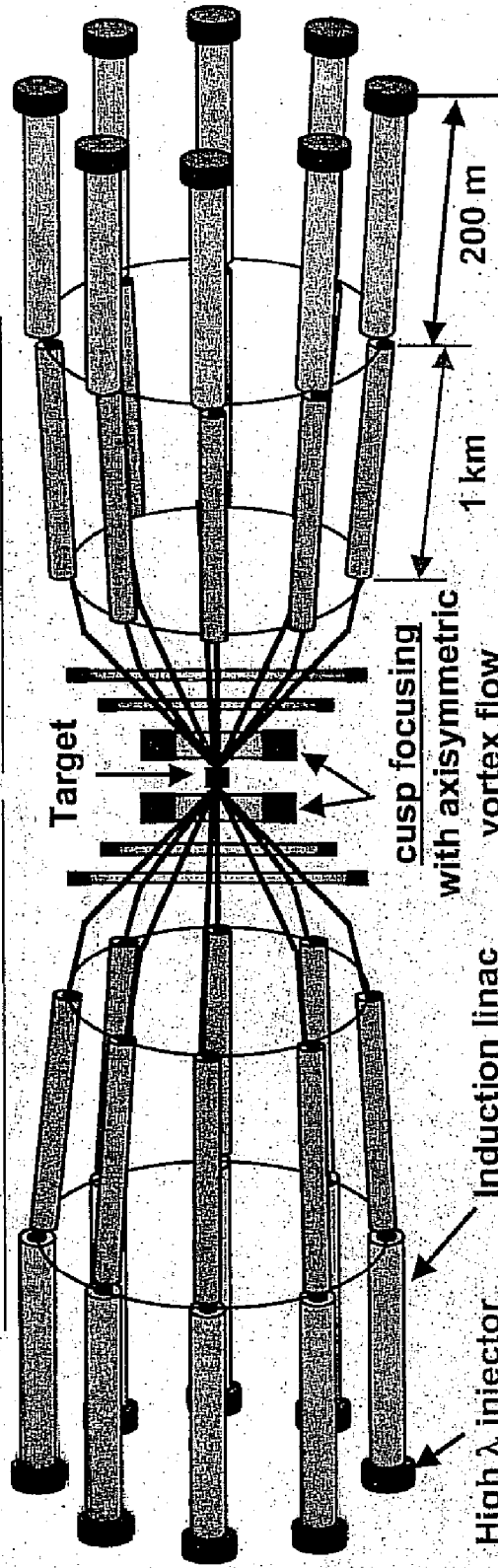




# A solenoid focus option leads to a low $V$ , high current modular driver with advantageous development path

Pulse energy  $\sim 6.7$  MJ

$V \sim 200-300$  MV:  $T \sim 2.5$  GeV  $Xe^{+8}$  ions or  $T \sim 200$  MeV for  $Ne^{+1}$



High  $\lambda$  injector  
 Merging beamlet  
 source/injector

or  
 accel/decel  
 injector

Induction linac  
 single beams

$r_p \sim 15$  cm  
 $B_s \sim 9T$   
 $I \sim 6.7$  kA

$T \sim 2.5$  GeV  
 $\Delta t \sim 100$  ns  
 double pulsed for  
 foot and main pulses

Neutralized drift  
 compression

$\Delta v/v \sim 0.01$

(no space charge  
 stagnation)

or  
 adiabatic plasma  
 lense assisted  
 pinch with cross-  
 jet flow. liquid  
 walls



# Summary of Current Limits from Different Focusing Methods

## EINZEL LENS

$$Q_{\text{max}} \approx \frac{3\pi^2}{8} \left( \frac{q\beta_0}{m\beta_0^2} \right)^2 \left( \frac{V_0}{L} \right)^2$$

## SOLENOIDS

$$Q_{\text{max}} = \left( \frac{\omega_c V_0}{2\gamma\beta c} \right)^2$$

## QUADRUPOLE FOCUSING

$$Q_{\text{max}} \approx \frac{\eta Q_0}{2\pi} \left( \frac{\sin \frac{\eta\pi}{2}}{\frac{\eta\pi}{2}} \right) \begin{bmatrix} B V_0 \\ EB\rho \end{bmatrix} \begin{bmatrix} V_0 \\ V_p \end{bmatrix} \begin{bmatrix} V_0^2 \\ V_p^2 \end{bmatrix}$$

MAGNETIC Electric

## FOR NON-RELATIVISTIC BEAMS

$$\lambda_{\text{max}} \propto \frac{Q_0^2}{V}$$

$$\lambda_{\text{max}} \propto \frac{q}{m} B^2 r_p^2$$

$$\left\{ \begin{array}{l} B_1 V_0^{1/2} r_p \\ N_q \end{array} \right.$$

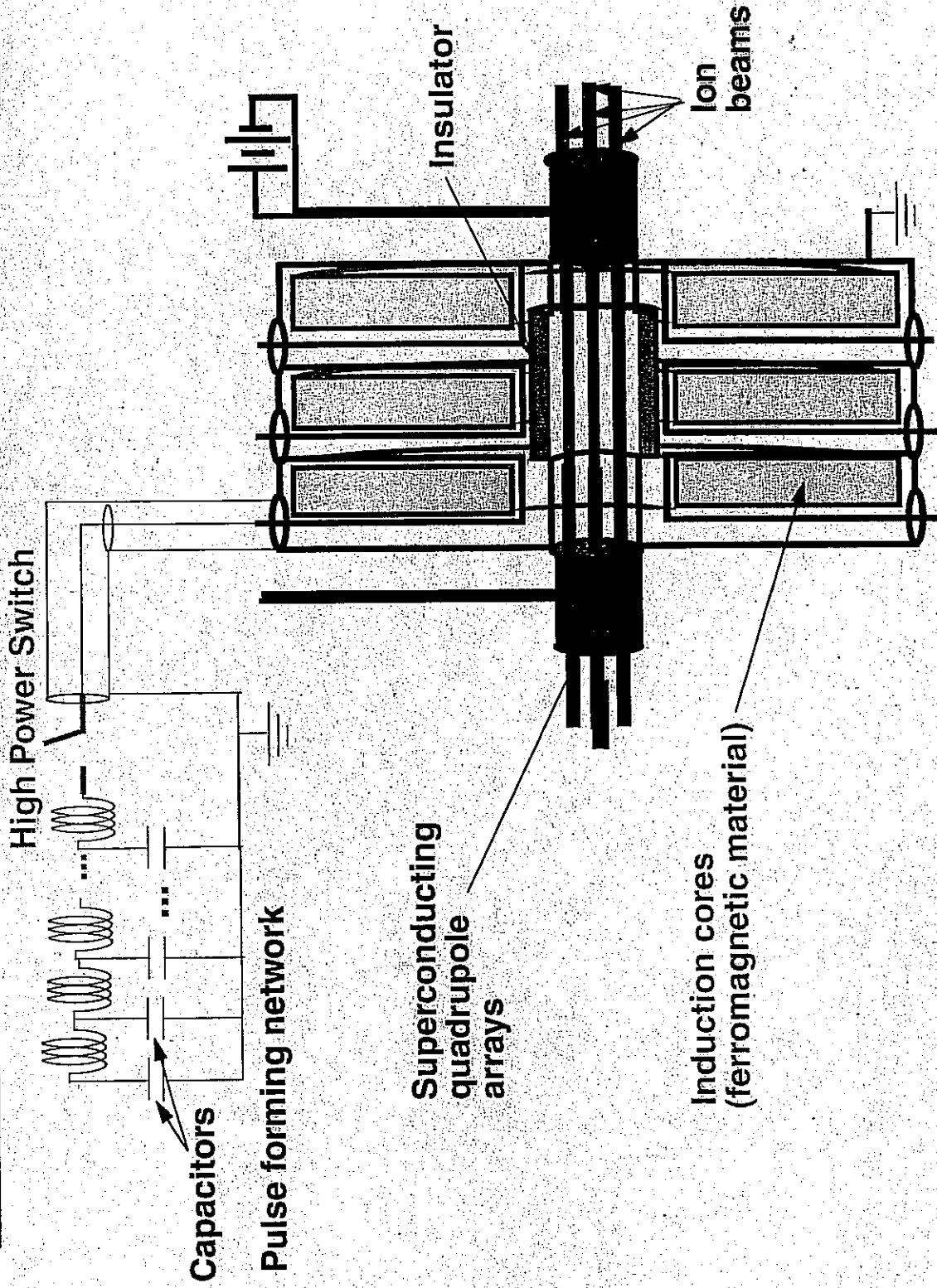
NOTE

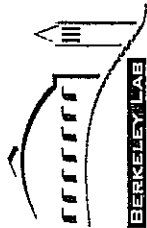
$Q_0$  = Voltage between Einzel lenses

$V_q$  = Voltage on a quad relative to ground

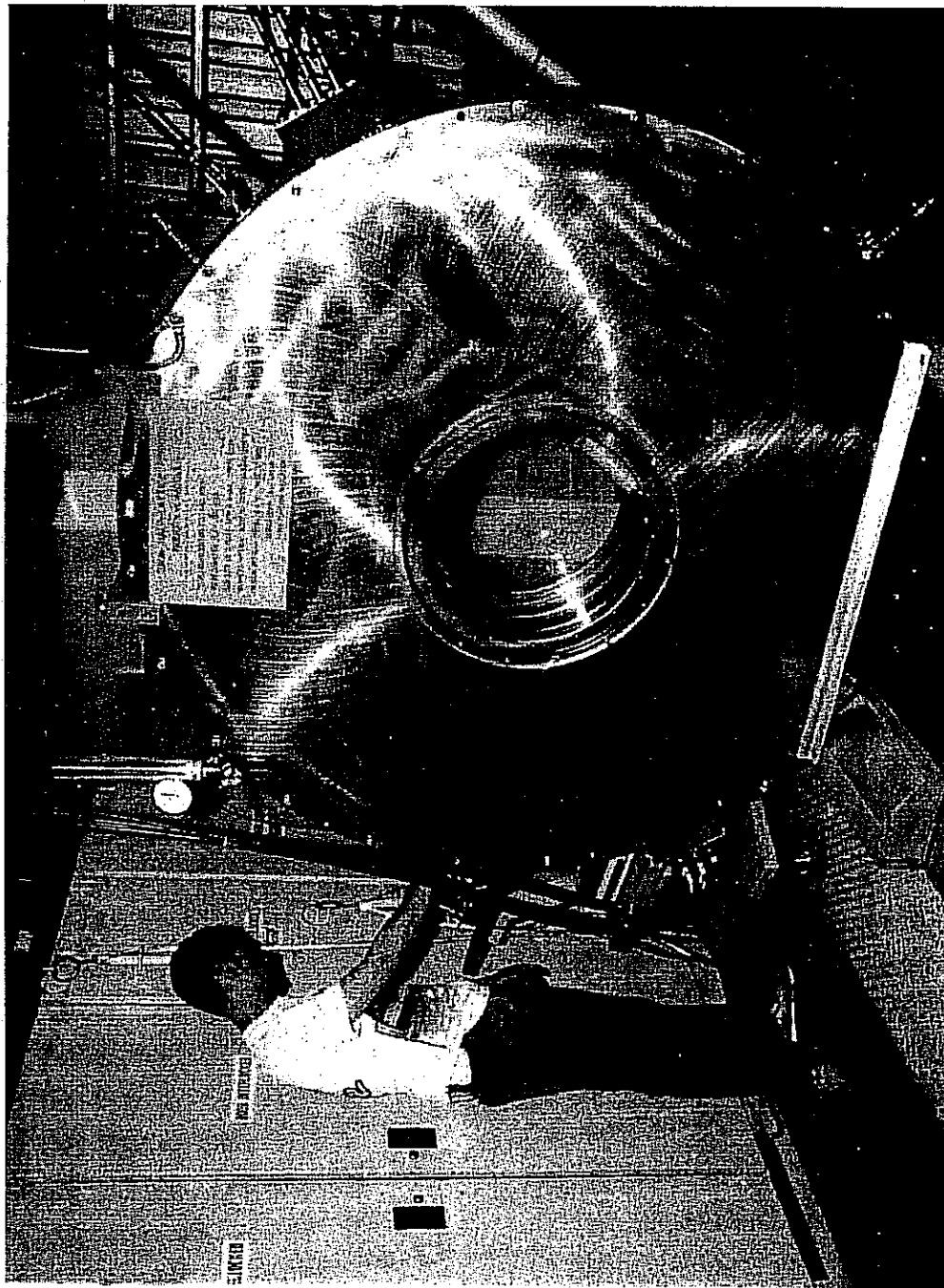
$V$  = particle energy /  $q$

# Major components of an induction linac

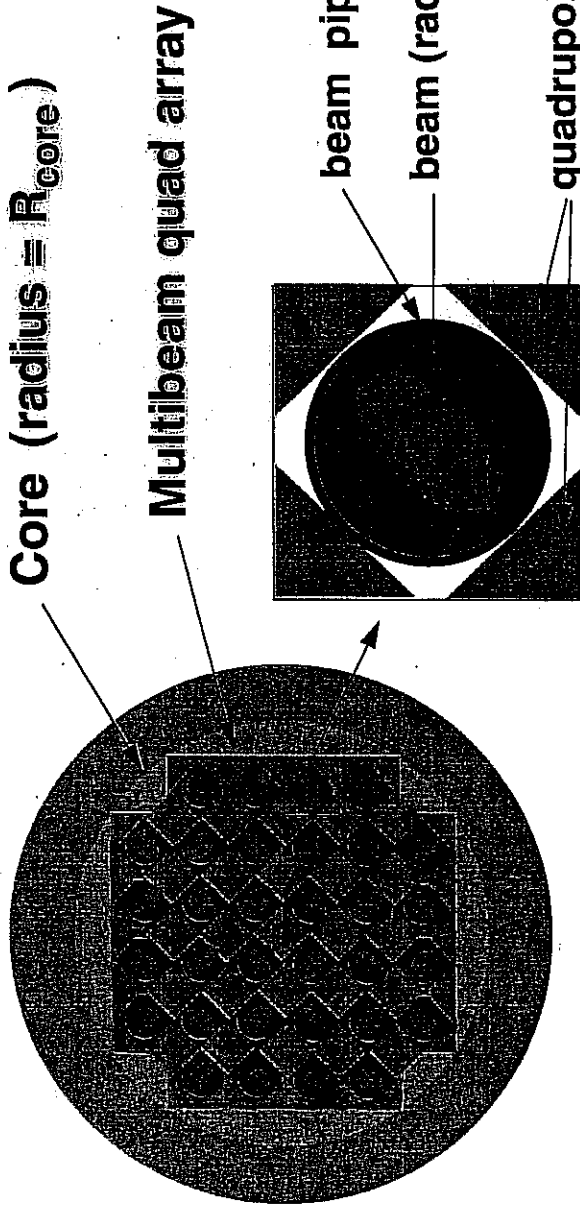




# An Induction Core



An array of small beamlets increases the total beam current through the core.



From class:

$$Q = \left( \frac{a^2}{4r_p^2} \right) Q_0$$

$$Q_0 \approx \frac{N_b^2 B^2}{4a}$$

$$I \approx r_p^2 Q \approx a^2 B^2 / r_p$$

$$Q \approx \frac{N_b^2 a}{4r_p^2}$$

Current per beam =  $I_b \sim a^2 B^2 / r_p$

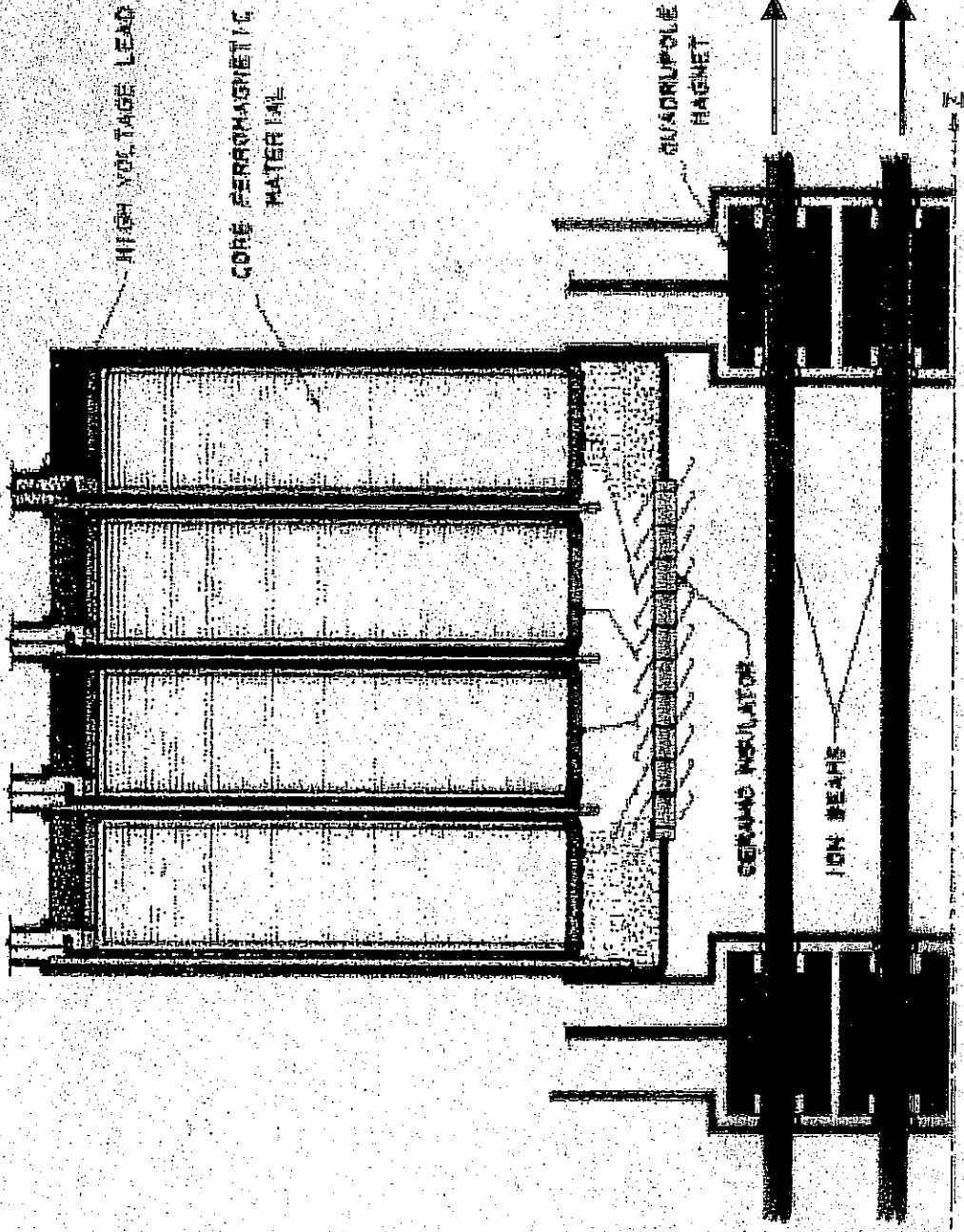
$r_p \sim a$  (until misalignments require minimum size--better:  $r_p = c_1 a + c_2$ )

so  $I_b \sim a$ ;  $N_b$  = number of beams in array  $\sim R^2_{core} / a^2$

Total current through core =  $I_{tot} = N_b I_b \sim R^2_{core} / a$  (until misalignments dominate scaling)



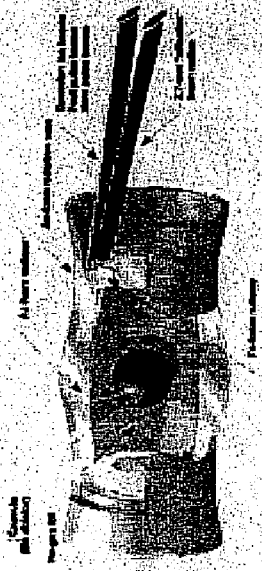
# A Typical driver has about 2000 individual modules



# Focusability at the target is key scientific issue



Conditions of beam at target are set by hohlraum and implosion physics



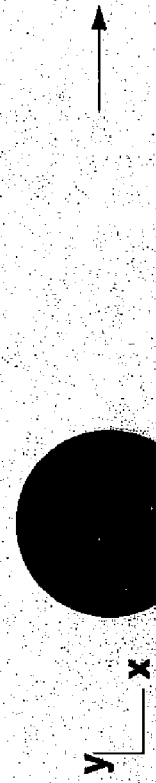
Energy in pulse: ~ 3 to 6 MJ

Duration of main pulse: ~ 8 to 10 ns

Duration of foot pulse: ~ 30 ns

Spot radius: ~ 1.5 to 3 mm

Transverse and longitudinal compression are required to meet target  
y z specifications.



Radius of beam at source ~ 1-3 cm

At target ~ 1.5-3 mm

Compression factors of 10 to 50 in both longitudinal and transverse directions are required.

## In an induction linac, certain limits constrain design



Phase advance per lattice period  $\sigma_0 < \sim 85^\circ$  (to avoid envelope/lattice instabilities)  $\uparrow$  emittance growth).

Space charge is limited by external focusing  $K < (\sigma_0 a / 2L)^2$  where  $K$  is the perveance (proportional to line charge density over beam Voltage),  $a$  is the average beam radius and  $L$  is the half-lattice period.

Velocity tilt  $\Delta v/v < \sim 0.3$  for electrostatic quads (larger for magnetic quads) to avoid mismatches at head and tail of beam, and to ensure tail radius within pipe and head  $\sigma_0$  within limit)

Volt-seconds per meter  $(dV/ds) l/v_0 < \sim 1.5-2.0$  V-s/m (for "reasonable" core sizes)

Voltage gradient  $dV/ds < \sim 1-2$  MV/m (to avoid breakdown in gaps)



## **Sources of non-linearity and mismatch are well defined**

---



### **Sources of non-linearities**

- External focusing magnets**
- Space-charge**
- Multiple-beam effects**

### **Sources of mismatch**

- Accelerator imperfections**
  - Quad strength and placement errors**
  - Acceleration waveform errors**
  - Bend strength errors**
- Velocity tilt**

**Simulations give reliable and definitive tolerances on each source**

## Several potential instabilities have been investigated in HIF drivers



### Temperature anisotropy instability

After acceleration  $T_{\parallel} \ll T_{\perp}$ , internal beam modes are unstable; saturation occurs when  $T_{\parallel} \sim T_{\perp}/3$

### Longitudinal resistive instability

Module impedance interacts with beam, amplifying space-charge waves that are backward propagating in beam frame

### Beam break-up (BBU) instability

High frequency waves in induction module cavities interact transversely with beam

### Beam-plasma instability

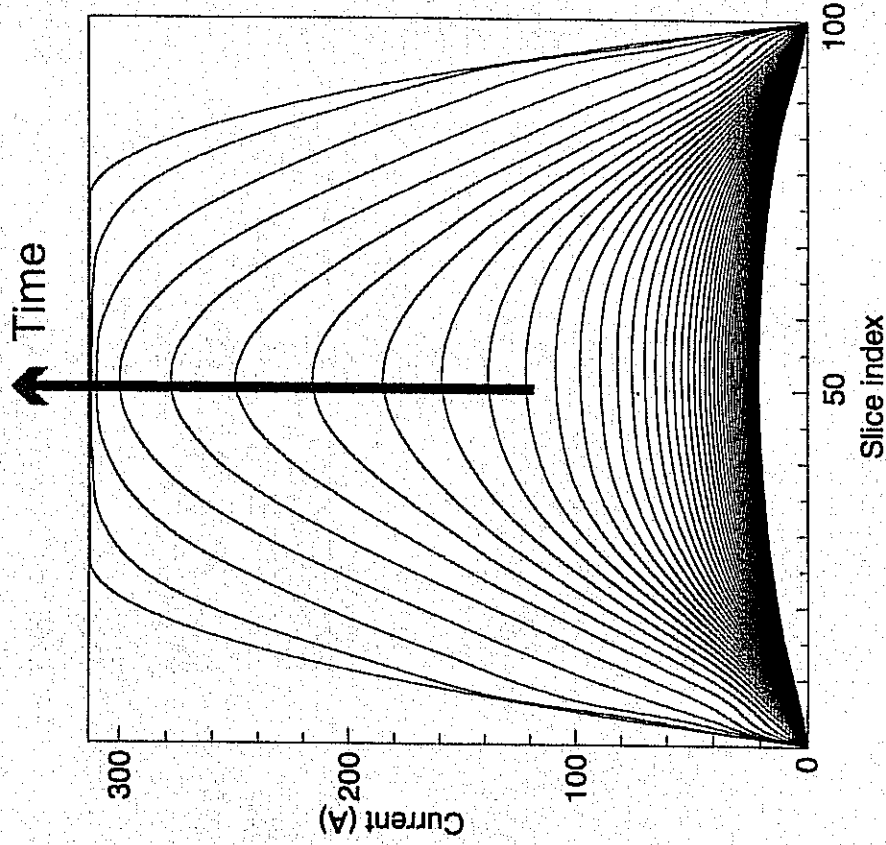
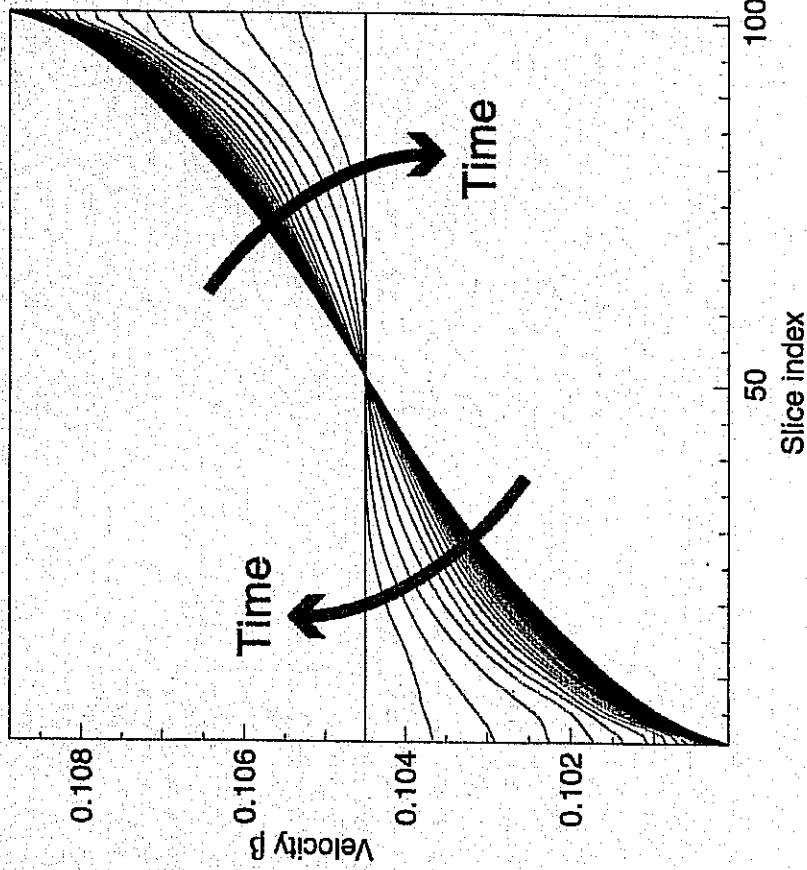
Beam interacts with residual gas in target chamber

All of these instabilities have known analytic linear growth rates, which constrain the accelerator design (to ensure minimal growth or benign saturation).

**M. de Hoon studied a final current pulse that is flat with parabolic ends using the HERMES code**

15 ns final pulse duration

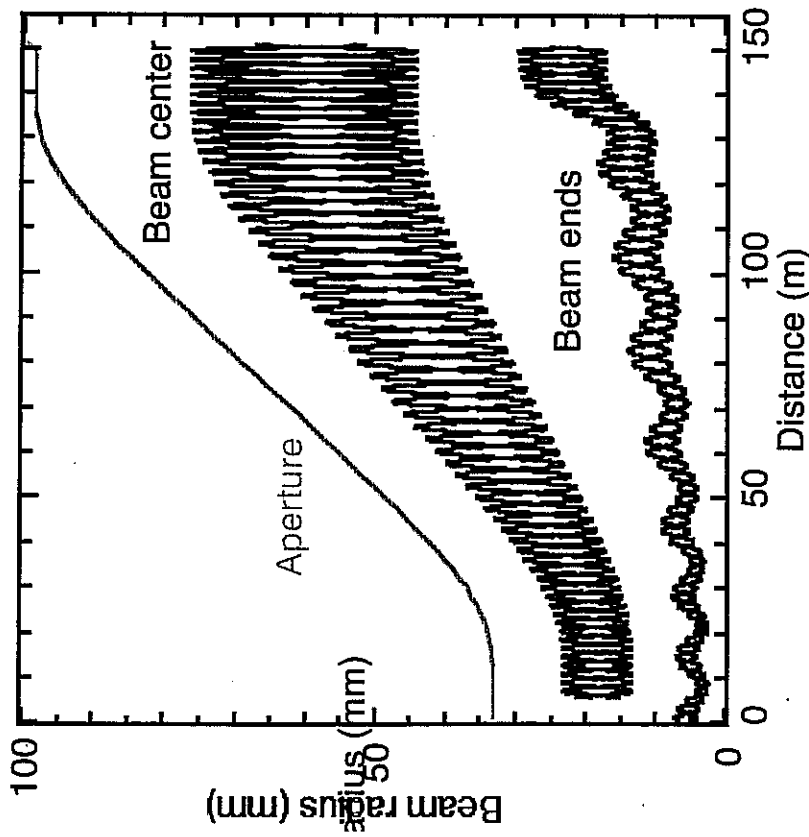
The initial tilt on the beam is about 4% (compare to ~30% at the beginning of the accelerator)



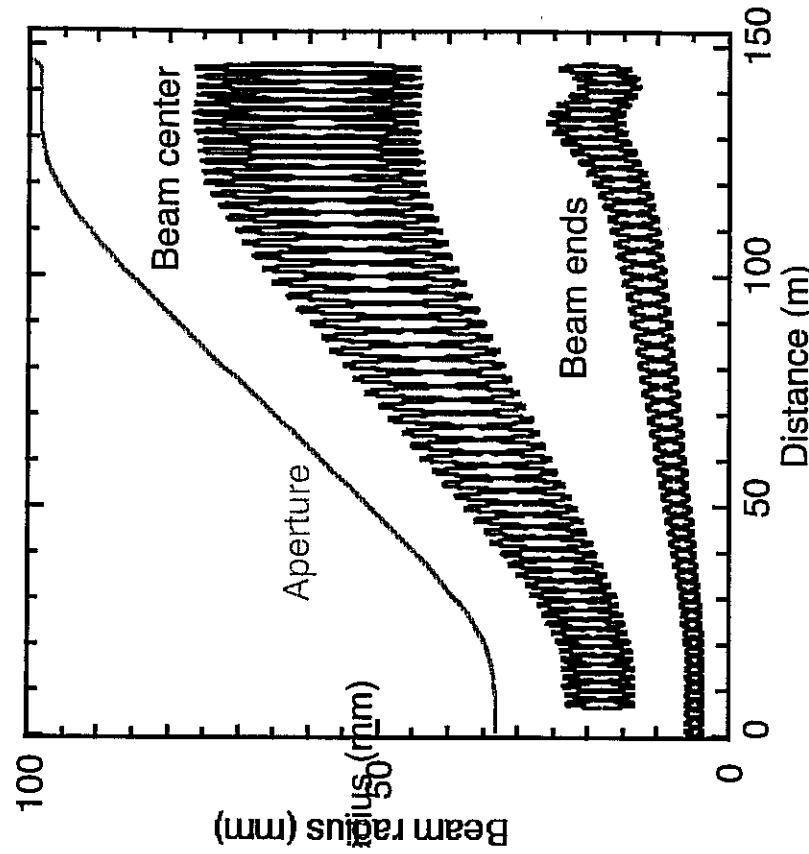
Although the final beam profile is flat, it is parabolic for most of the drift compression



**Drift compression section is designed by running code first backwards from target, then forwards after rematching**



**Begin with a desired 20ns, constant-energy pulse at end of compression, track backwards, design lattice for central slice; beam end becomes mismatched early on**

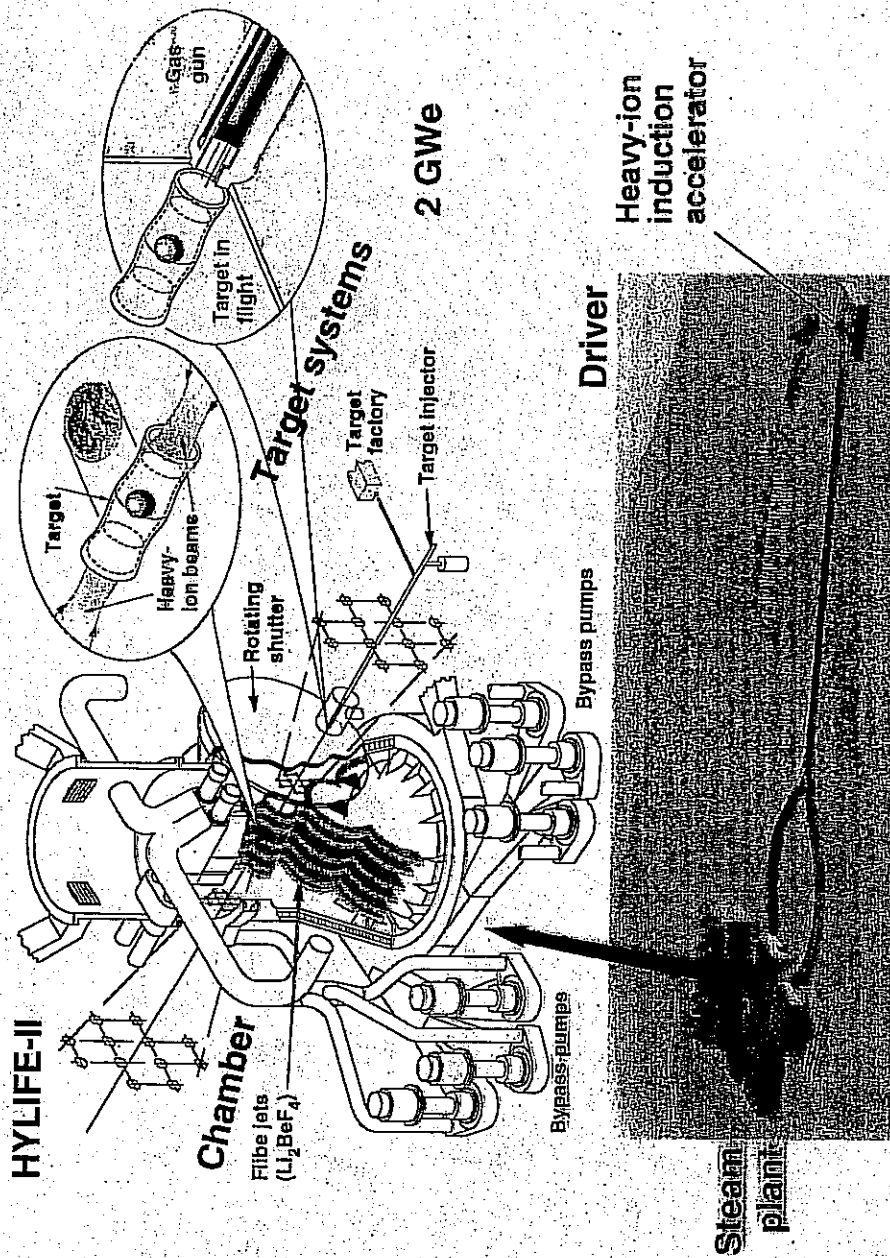


**“Rematch” at entrance to compression section, by adjusting a,a’,b,b’; then track forward**

# The HYLIFE-II ion beam-driven power plant is shown with a two-end target, illustrated from two sides and a linear heavy-ion induction driver



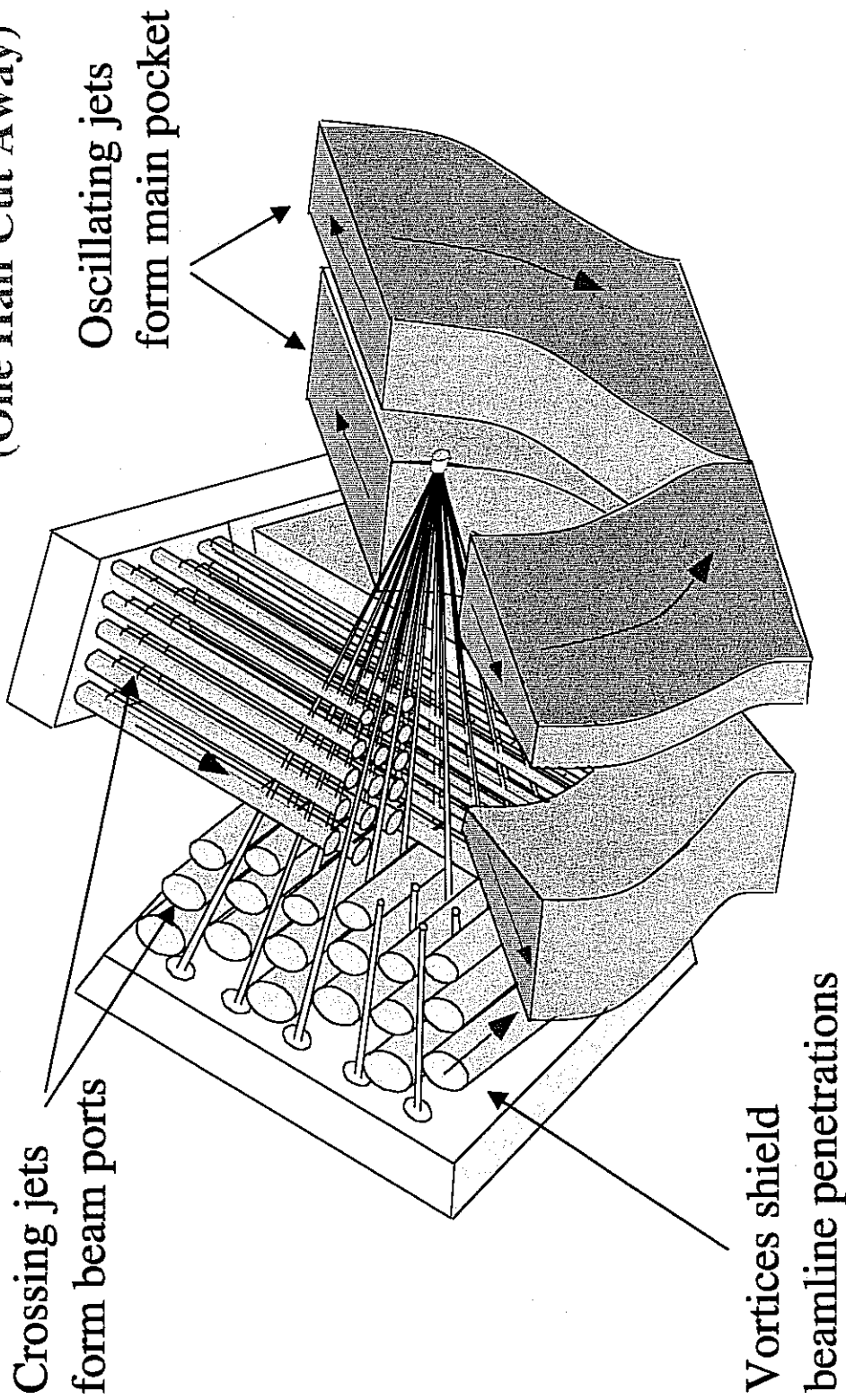
The liquid wall protection including beam ports is provided by pumping molten salt (Flibe) through the chamber



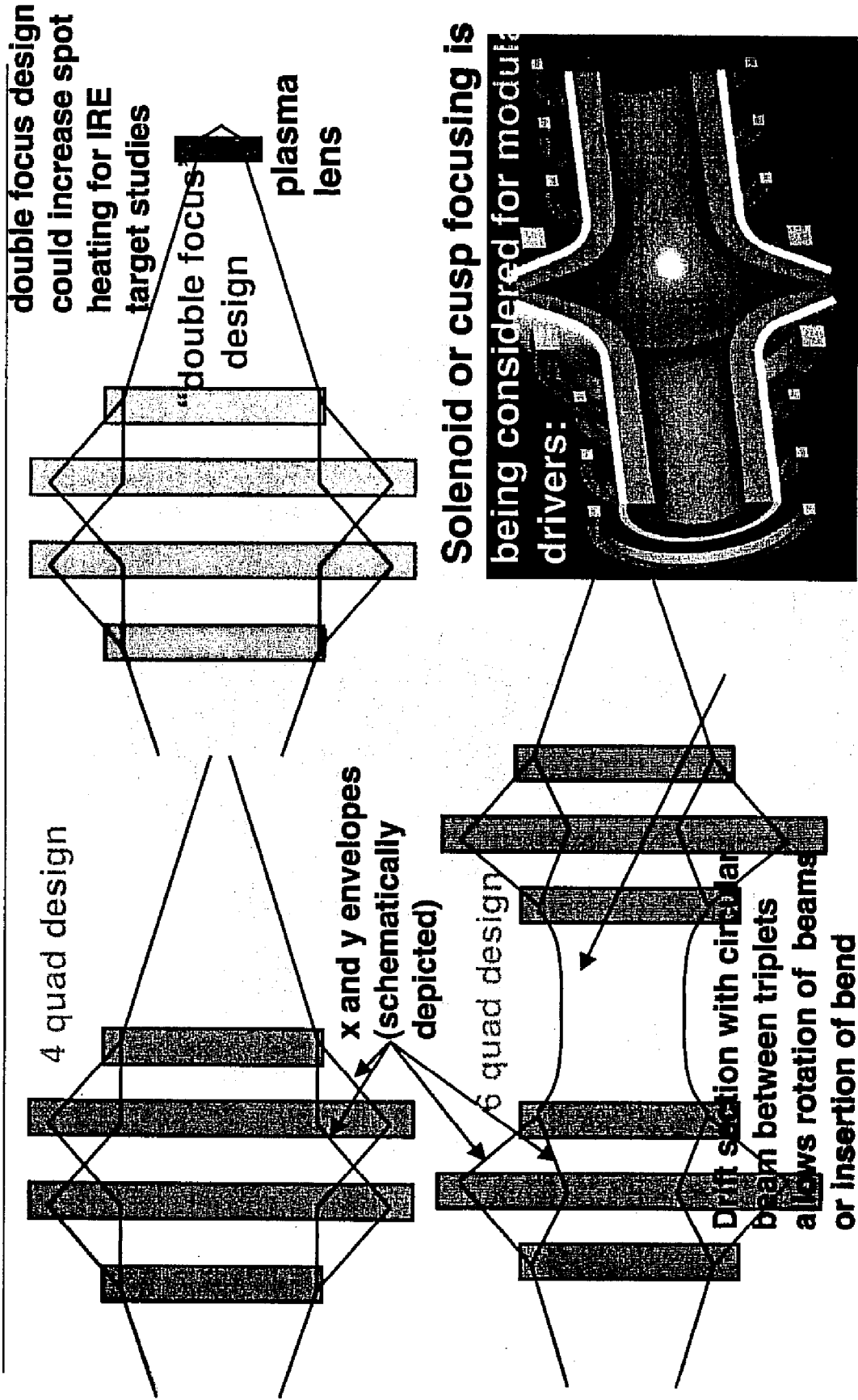
Liquid-jet protected fusion chambers for long lifetime, low cost, and low environmental impact

# The First Wall Protected by Neutron-thick Molten Salt FLiBe, FLiBe is a low Z salt $\Rightarrow$ low activation $\Rightarrow$ Green fusion energy

(One Half Cut Away)



# A number of final focus options are being considered for HIF applications



# ESTIMATING SPOT SIZE

$$r_x'' + \frac{(\gamma_b \beta_b)'}{\gamma_b \beta_b} r_x + k_x r_x - \frac{zQ}{r_x + r_y} - \frac{E_x^2}{v_x^3} = 0$$

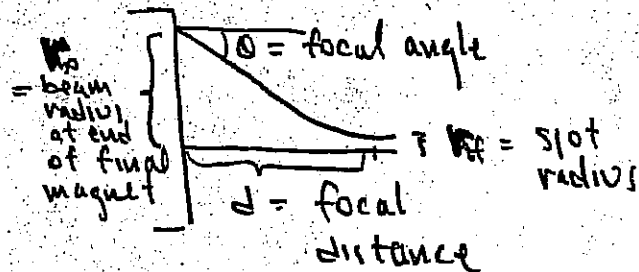
$$r_y'' + \frac{(\gamma_b \beta_b)'}{\gamma_b \beta_b} r_y + k_y r_y - \frac{zQ}{r_x + r_y} - \frac{E_y^2}{v_y^3} = 0$$

IN CHAMBER: NO EXTERNAL FOCUSING, NO ACCELERATION  
AND BEAM IS OFTEN CIRCULAR (BY DESIGN)

$$\Rightarrow k_x = k_y = (\gamma_b \beta_b)' = 0 \quad \& \quad v_x = v_y = v_b$$

$\Rightarrow$  ENVELOPE EQUATION IS:

$$r_b'' = \frac{Q}{r_b} + \frac{E^2}{v_b^3}$$



MULTIPLYING BY  $r_b'$  & INTEGRATING  $\Rightarrow$

$$\frac{r_{bf}'^2}{2} - \frac{v_{b0}'^2}{2} = Q \ln \frac{r_{bf}}{r_{b0}} + \frac{E^2}{2v_{b0}^3} - \frac{E^2}{2v_{bf}^3}$$

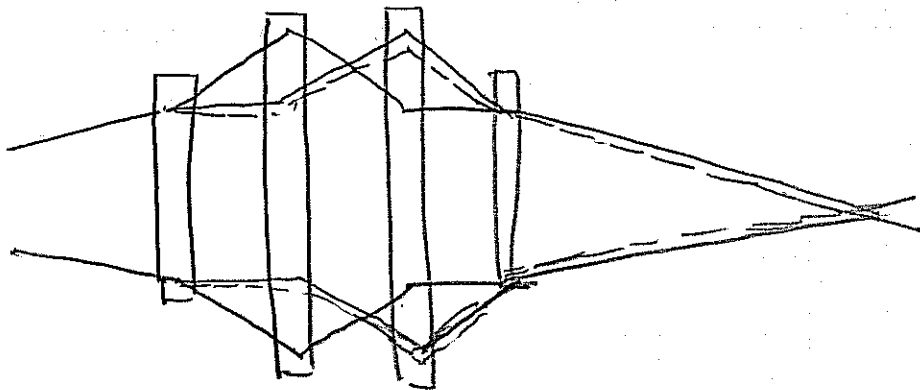
Now  $v_{b0}' \approx \theta$        $r_{bf}$  = spot radius       $v_{bf} \ll v_{b0}$   
 $r_{bf}' = 0$        $r_{b0} \approx d\theta$

$$\Rightarrow \theta^2 \approx \frac{2Q}{d} \ln \left( \frac{d}{r_{bf}} \right) + \frac{E^2}{r_{bf}^3}$$

FOR EMITTANCE DOMINATED SPOT:  $r_{bf} = \frac{E}{\theta}$

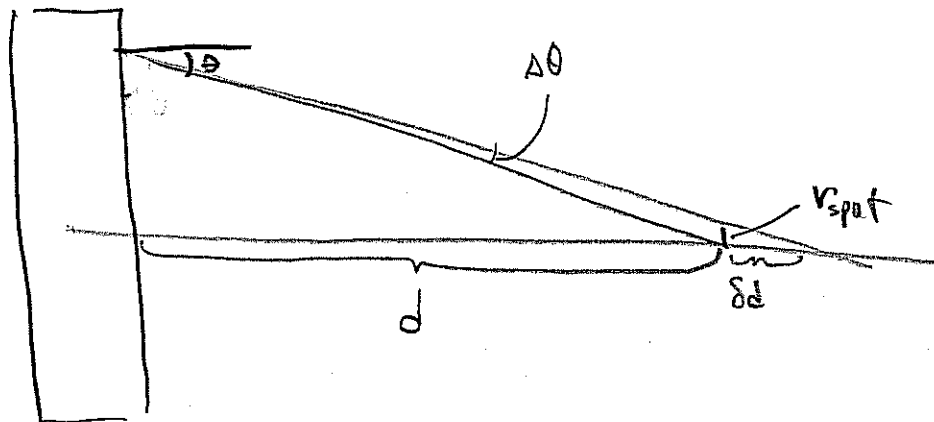


"CHROMATIC ABERRATIONS" TEND TO BROADEN SPOT



SINCE QUADRUPOLE MAGNET FOCUSING  $\propto \frac{1}{v_z}$

(i.e.,  $x'' = \frac{qB'}{\gamma m v_z} x$ ) A SPREAD IN LONGITUDINAL VELOCITY GIVES RISE TO A BROADENING OF FINAL SPOT.



$$\begin{aligned}
 v_{spot} &= \theta \delta d \\
 &= \theta \frac{d}{p} \frac{dp}{p} \\
 &= \alpha \theta d \left( \frac{\delta p}{p} \right)
 \end{aligned}$$

$\alpha =$  some constant depending on focal system

HEURISTICALLY THE CONTRIBUTION FROM CHROMATIC  
ABERRATIONS CAN BE WRITTEN

$$v_{\text{chrom}}^2 = \alpha^2 f^2 \left( \frac{\delta n}{n} \right)^2 \theta^2$$

where  $\alpha$  depends  
on system,  
typically 4-8

$$v_{\text{spot}}^2 = v_{\text{bf}}^2 + v_{\text{chrom}}^2$$

DETAILED SIMULATIONS OR MOMENT CODE RESULTS  
REQUIRED TO FIX  $\alpha$ .

We constructed moment models to study chromatic effects (through 2nd order) in final focus system

$$\frac{dp_x}{dt} = q(E_x + v_z B_y - v_y B_z)$$

Expand through 2nd order in  $x', y', k_{\beta 0} x, k_{\beta 0} y, \delta p/p$

$$x'' + \left( \frac{1}{\gamma v_{z0}} \frac{d}{dz} (\gamma v_z) \right) x' = \frac{qB'}{\gamma m v_{z0}^2} x \left( 1 - \frac{\Phi}{p} \right) + \frac{q\lambda}{4\pi\epsilon_0 m v_{z0}^2} \frac{2\Phi}{p} (x - \bar{x}) (1 - \frac{2\Phi}{p})$$

The equation of motions can be written (where  $\delta = \delta p/p$ ):

$$x'' = K_{xx} x + K_{x\delta} x \delta \quad y'' = K_{yy} y + K_{y\delta} y \delta$$

Here:

$$K_{xx} = \frac{B'}{[B\rho]_0} + \frac{Q}{2(\Delta x^2 + [\Delta x^2 \Delta y^2]^{1/2})} \quad K_{yy} = \frac{-B'}{[B\rho]_0} + \frac{Q}{2(\Delta y^2 + [\Delta x^2 \Delta y^2]^{1/2})}$$

$$K_{x\delta} = - \left[ \frac{B'}{[B\rho]_0} + \frac{2Q}{2(\Delta x^2 + [\Delta x^2 \Delta y^2]^{1/2})} \right] \quad K_{y\delta} = - \left[ \frac{-B'}{[B\rho]_0} + \frac{2Q}{2(\Delta y^2 + [\Delta x^2 \Delta y^2]^{1/2})} \right]$$

$$B' = \text{quadrupole gradient}; \quad [B\rho] = \text{ion rigidity} = p/q; \quad Q = \text{perveance} = \frac{q\lambda}{2\pi\epsilon_0 \gamma_0^3 m v_{z0}^2}$$



We take averages of 2nd, 3rd, ... order quantities, forming infinite set of 1st order ode's

$$\begin{aligned} \frac{d}{ds} \langle x^2 \rangle &= 2 \langle xx' \rangle \\ \frac{d}{ds} \langle xx' \rangle &= \langle x'^2 \rangle + \langle xxx'' \rangle \\ &= \langle x'^2 \rangle + K_{xx} \langle x^2 \rangle + K_{xx1} \langle x^2 \delta \rangle \\ \frac{d}{ds} \langle x'^2 \rangle &= 2 \langle x'x'' \rangle \\ &= 2 K_{x'x'} \langle xx' \rangle + 2 K_{x'x1} \langle xx' \delta \rangle \end{aligned}$$

$$\begin{aligned} \frac{d}{ds} \langle x^2 \delta \rangle &= 2 \langle xx' \delta \rangle \\ \frac{d}{ds} \langle xx' \delta \rangle &= \langle x'^2 \delta \rangle + \langle xxx'' \delta \rangle \\ &= \langle x'^2 \delta \rangle + K_{xx} \langle x^2 \delta \rangle + K_{xx1} \langle x^2 \delta^2 \rangle \\ \frac{d}{ds} \langle x'^2 \delta \rangle &= 2 \langle x'x'' \delta \rangle \\ &= 2 K_{x'x'} \langle xx' \delta \rangle + 2 K_{x'x1} \langle xx' \delta^2 \rangle \end{aligned}$$

—  $\Rightarrow$  term higher order by one



**Infinite set of equations can be truncated, but are reliable over only finite distances**

**Two equivalent methods of truncation have been employed:**

1.  $\langle x^2 \delta^2 \rangle \sim \langle x^2 \rangle \langle \delta^2 \rangle$  and  $\langle xx' \delta^2 \rangle \sim \langle xx' \rangle \langle \delta^2 \rangle$ ; or
2. Noticing that  $\frac{1}{1+\delta} = 1 - \delta + \delta^2 + \dots$  and  $\frac{1}{1-\delta} = 1 + \delta + \delta^2 + \dots$  thus,

$$\frac{1}{1-\delta} - \frac{1}{1+\delta} = 2\delta + 2\delta^3 + \dots \quad \text{also} \quad \frac{\delta}{1+\delta} = 1 - \frac{1}{1+\delta}$$

so that we may, to good approximation, write

$$\frac{d}{ds} \langle x^2 \rangle = 2 \langle xx' \rangle \quad \frac{d}{ds} \langle xx' \rangle = \langle x'^2 \rangle + K_{xx} \langle x^2 \rangle + K_{xx1} \left\langle \frac{x^2}{1-\delta} \right\rangle - \left\langle \frac{x^2}{1+\delta} \right\rangle + O(x^2 \delta^3)$$

$$\frac{d}{ds} \langle x'^2 \rangle = 2K_{xx} \langle xx' \rangle + K_{xx1} \left[ \left\langle \frac{xx'}{1-\delta} \right\rangle - \left\langle \frac{xx'}{1+\delta} \right\rangle \right] + O(xx' \delta^3)$$

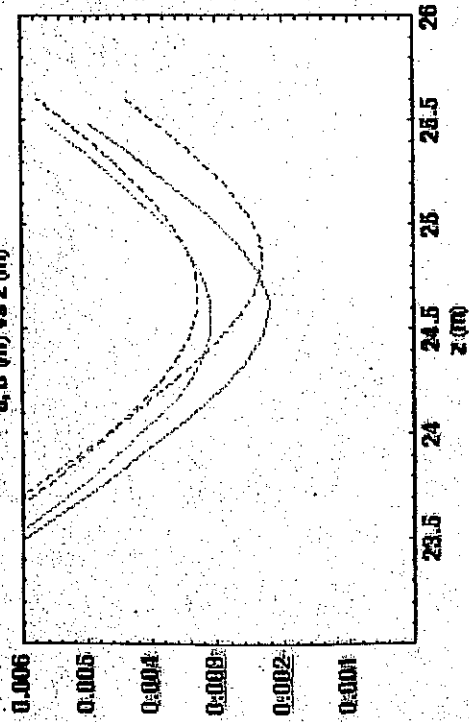
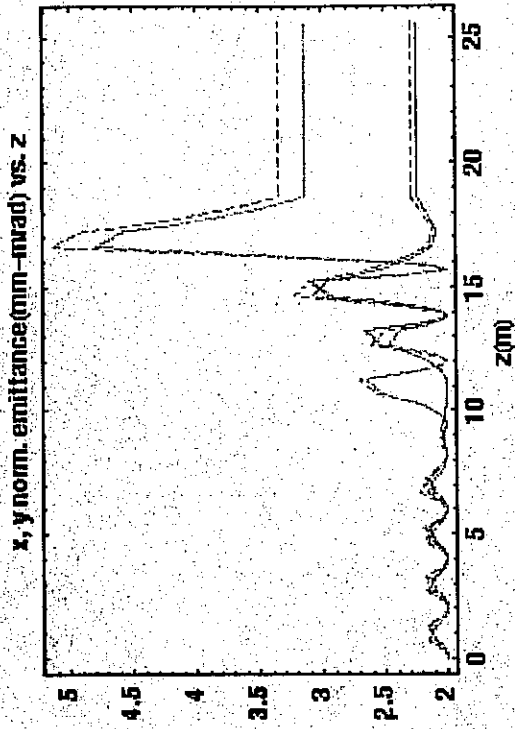
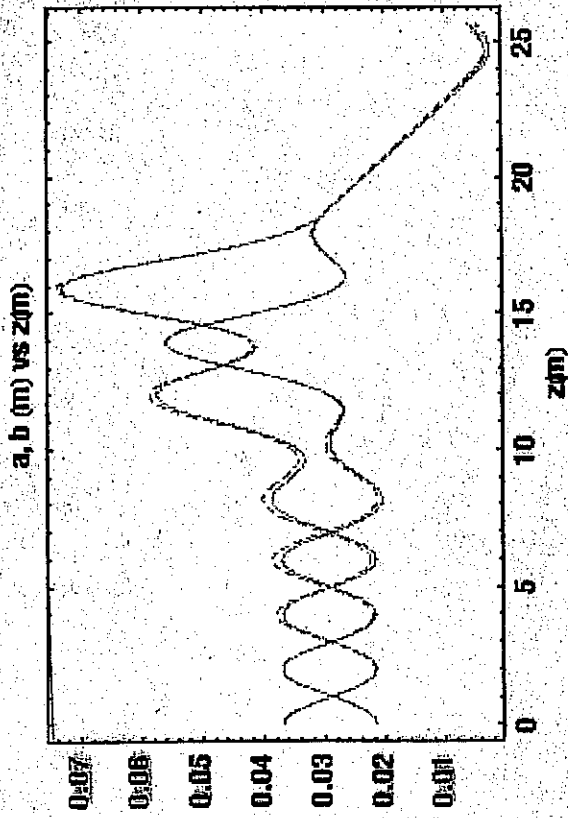
$$\frac{d}{ds} \left\langle \frac{xx'}{1+\delta} \right\rangle - \left\langle \frac{x'^2}{1+\delta} \right\rangle + K_{xx} \left\langle \frac{x^2}{1+\delta} \right\rangle - K_{xx1} \left\langle \frac{x^2}{1+\delta} \right\rangle + K_{xx1} \langle x^2 \rangle \quad \frac{d}{ds} \left\langle \frac{x^2}{1+\delta} \right\rangle = 2 \left\langle \frac{xx'}{1+\delta} \right\rangle$$

$$\frac{d}{ds} \left\langle \frac{x'^2}{1+\delta} \right\rangle = 2K_{xx} \left\langle \frac{xx'}{1+\delta} \right\rangle + 2K_{xx1} \left\langle \frac{xx'}{1+\delta} \right\rangle - 2K_{xx1} \left\langle \frac{xx'}{1+\delta} \right\rangle$$

**Truncated set of equations forms closed set.**

**both methods give nearly identical results for  $\langle \delta^2 \rangle$  in the regime of interest; similar equations for  $\langle x^2/(1-\delta) \rangle$ ,  $\langle xx'/(1-\delta) \rangle$ ,  $\langle x'^2/(1-\delta) \rangle$ , and the same set for  $\gamma$ ; 18 equations total.**

# Comparison of moment equations with Particle-in-Cell (WARP<sup>1</sup>) simulations (1% velocity spread)

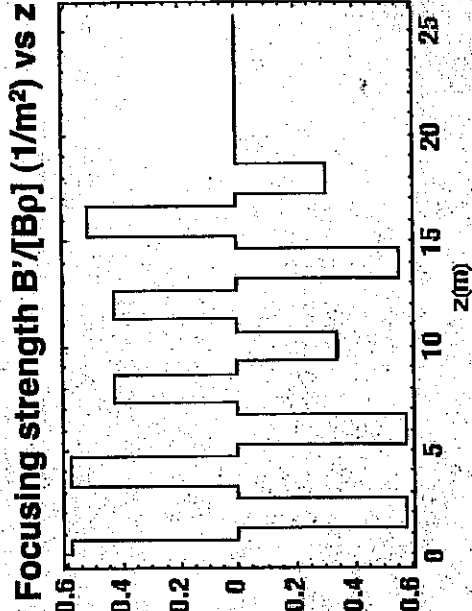
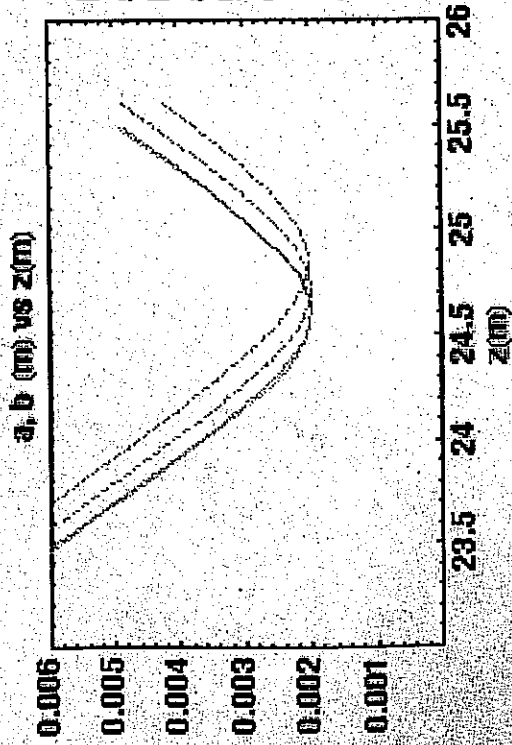
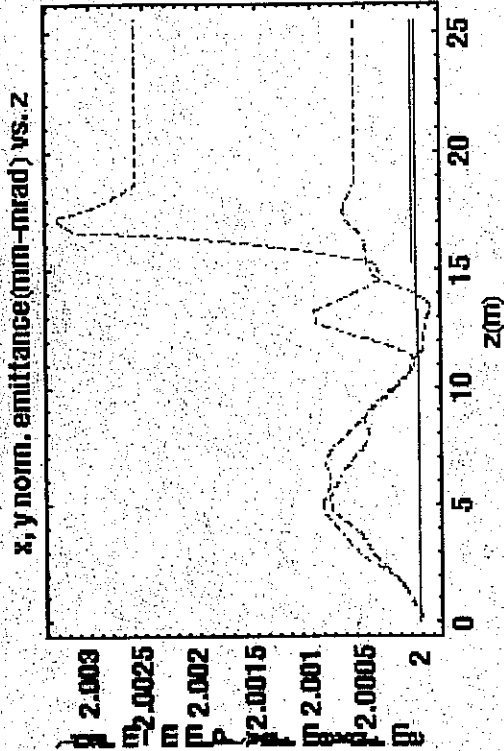
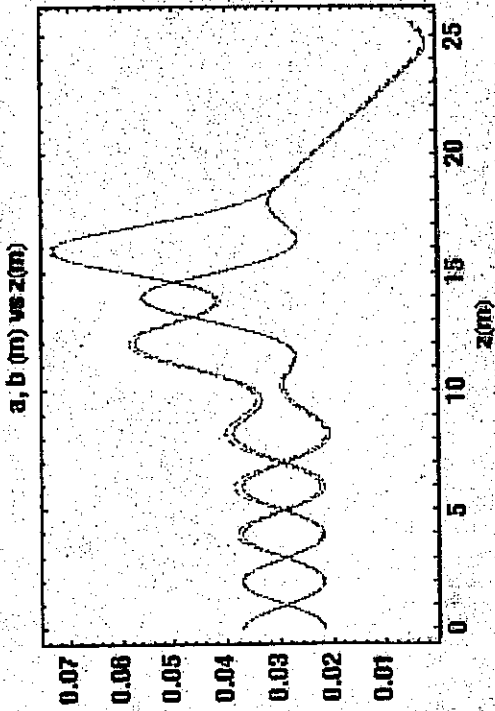


**Particle simulations:**  
 Dashed, red (x) and blue (y)  
 Initial distribution: KV

**Moment calculations:**  
 Solid, magenta (x), and aqua (y)



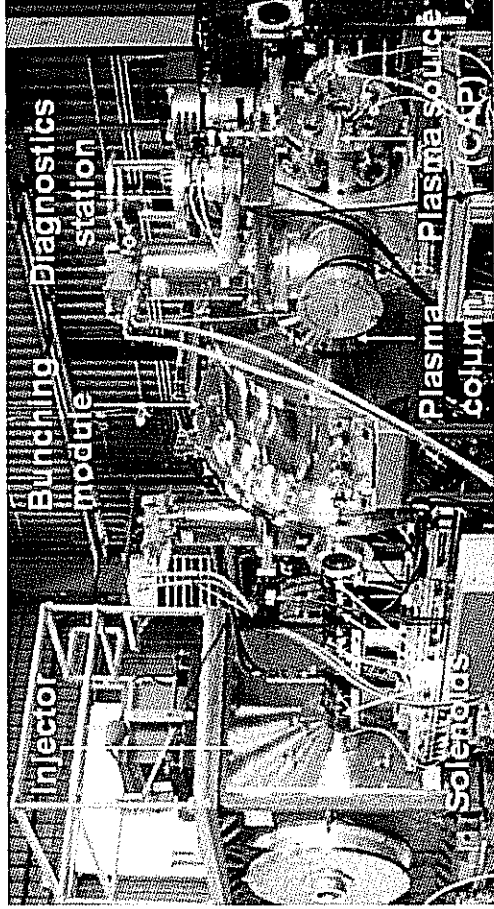
# Comparison of moment equations with PIC simulations (WARP) -- no velocity spread



Particle simulations:  
 Dashed, red (x) and blue (y)  
 Initial distribution: KV  
 Moment calculations:  
 Solid, magenta (x), and aqua (y)



**The HIFS VNL has two ongoing experiments, and a long range plan for HEDP studies and Heavy Ion Fusion**

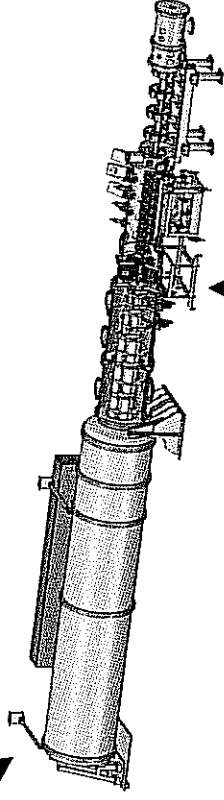


**NDCX II 3 - 6 MeV, 0.03  $\mu$ C**

~2009

Soon  $\rightarrow$

Today:  $\leftarrow$



$\leftarrow$  NDCX I

0.4 MeV, 0.003  $\mu$ C

HCX  $\uparrow$

1.7 MeV, ~0.025  $\mu$ C

Future  $\swarrow$   
 $\nearrow$

**IB-HEDPX (with CD0)**

5 - 15 year goal

20 - 40 MeV, 0.3 - 1.0  $\mu$ C

WDM User facility

10 kJ Machine for HIF

10 - 20 year goal

Target implosion physics



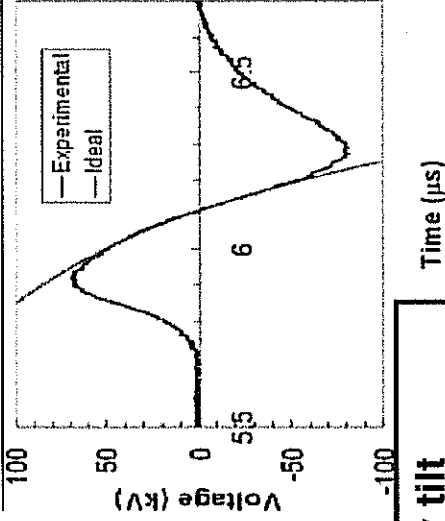
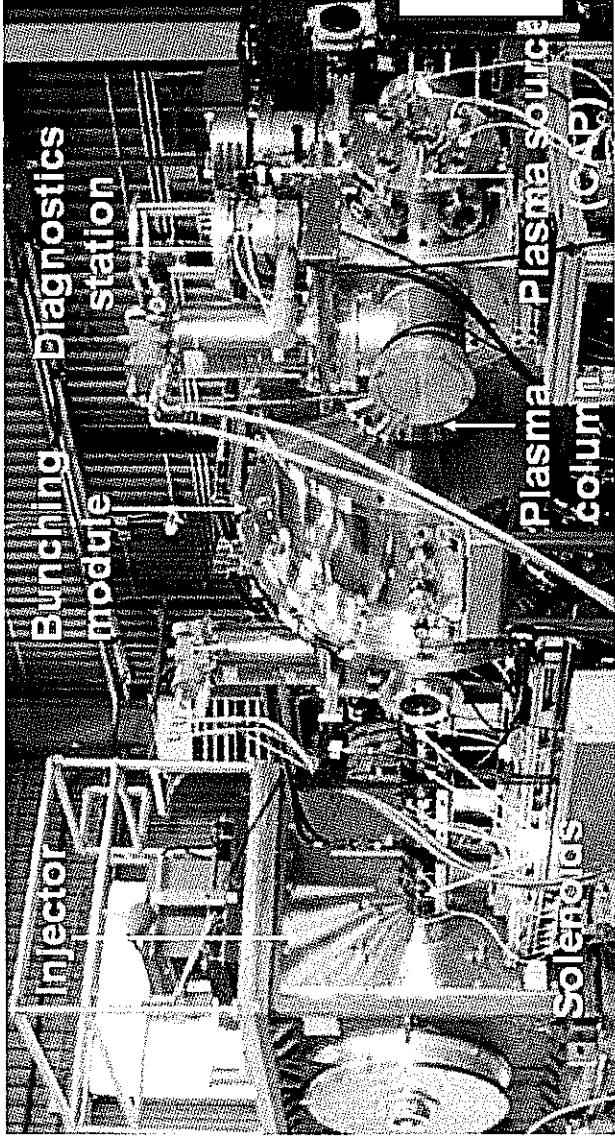
**HIF/WDM beam science: neutralized focusing and drift compression are now being tested for use in WDM and HIF**

**Both techniques virtually eliminate the repulsive effects of space charge on transverse and longitudinal compression**

**Transverse compression (= focusing the beam to a small spot, raising the watts/cm<sup>2</sup>): Recent VNL experiments, eg. scaled final focus experiment, (MacLaren et al 2002), NTX (Roy et al 2004), and current NDCX-1 have demonstrated benefits of neutralization by plasmas, also required for HIF.**

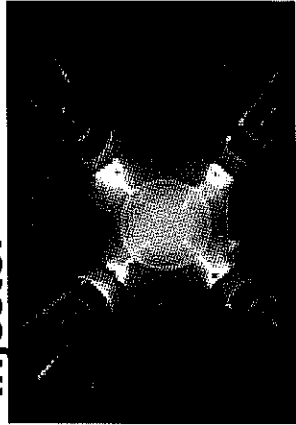
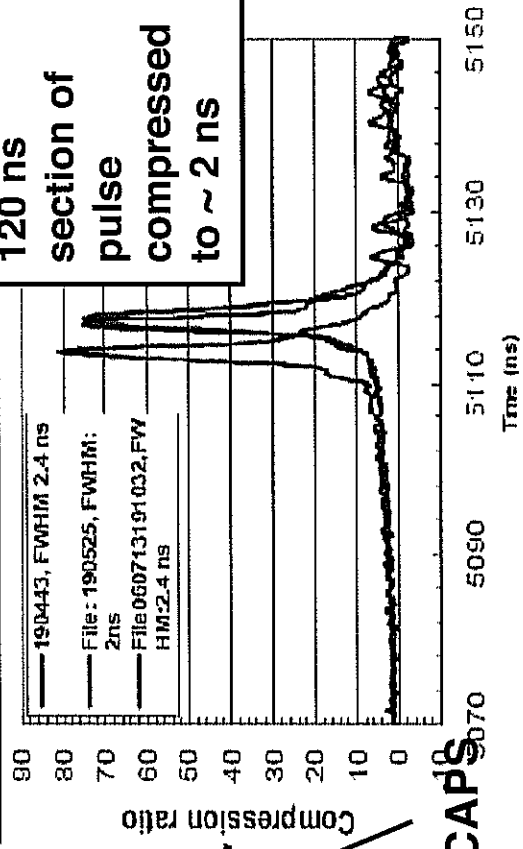
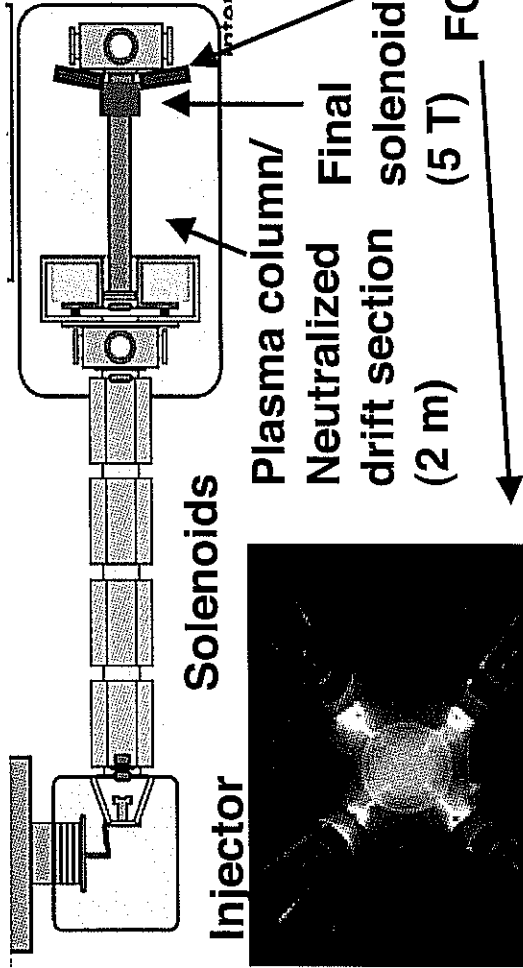
**Longitudinal compression (= raising the watts): WDM experiments require very short, intense pulses (<~ 1 ns) (shorter than needed for HIF). Neutralization allows high current/high power beams. Modular HIF concept also pushes limit of high current.**

# NDCX-1 has demonstrated > factor 70 pulse compression, and simultaneous transverse and longitudinal focusing



Velocity tilt accelerates tail, decelerates head

Like chirped pulse compression



FCAPS

# Artist's Conception of an HIF Power Plant on a few km<sup>2</sup> site

